WRIGHT & L'ESTRANGE 1 A Partnership Including 2 **Professional Corporations** Robert C. Wright (SBN 051864) Joseph T. Ergastolo (SBN 137807) 3 Laurie E. Barber (SBN 149160) 4 701 "B" Street, Suite 1550 San Diego, California 92101-6103 5 (619) 231-4844 (619) 231-6710 (facsimile) 6 7 Attorneys for Defendant Colt's Manufacturing Company, Inc. 8 9 SUPERIOR COURT OF THE STATE OF CALIFORNIA 10 FOR THE COUNTY OF SAN DIEGO 11 Coordination Proceedings JCCP NO. 4095 Special Title (rule 1550(b)), 12 SECOND SUPPLEMENTAL In re FIREARMS RESPONSE OF DEFENDANT 13 **COLT'S MANUFACTURING** COMPANY TO PLAINTIFFS' 14 This document relates to: FIRST REQUEST FOR PRODUCTION OF DOCUMENTS 15 PEOPLE OF THE STATE OF CALIFORNIA, et al.) v. ARCADIA MACHINE & TOOL, et al., San 16 Francisco Superior Court No. 303753 17 18 19 PROPOUNDING PARTY: The People of the State of California, et al. RESPONDING PARTY: Colt's Manufacturing Company, Inc. 20 SET NUMBER: One (Request for Production of Documents). 21 Pursuant to Code of Civil Procedure Section 2031, Defendant Colt's Manufacturing 22 Company, Inc. ("Colt") responds as follows to plaintiffs' requests for production of documents. 23 I. GENERAL OBJECTIONS 24 Colt makes the following general objections to plaintiffs' requests. The general 25 objections set forth below are incorporated into each of Colt's objections to plaintiffs' individual 26 requests unless otherwise specifically indicated. 27 28

SECOND SUPPLEMENTAL RESPONSE OF DEFENDANT COLT'S MANUFACTURING COMPANY TO

A. Definitions and Instructions

Colt objects to plaintiffs' Definitions and Instructions to the extent they purport to impose on Colt discovery obligations that are different from and/or in addition to those imposed by the Code of Civil Procedure. Colt further objects to plaintiffs' requests to the extent that they seek documents other than those in the possession of Colt and/or are more properly directed to other parties and would require Colt to review the files of and produce documents from other defendants and/or third parties. Colt will assume, unless clearly indicated from the context of the request, that plaintiffs' requests are limited to seeking only those documents within Colt's possession, custody and control. Colt objects to plaintiffs' definitions to the extent they attempt to alter the common and ordinary meaning of the defined word(s). Colt will respond to plaintiffs' requests for production of documents in accordance with the Code of Civil Procedure.

#### **B.** Document Depository

Dozens of municipalities and other entities have commenced numerous lawsuits across the country against Colt, other firearm manufacturers, and others. Colt anticipates that it will be served with voluminous, repetitive requests in jurisdictions across the country. Requiring Colt to search for documents separately in response to each and every document request in each and every one of these pending cases would place an enormous burden upon Colt.

Therefore, Colt has established a document depository in Hartford, Connecticut (the "Depository"). Colt has conducted a reasonably diligent search and has made a good faith attempt to produce to the Depository documents that may be relevant in this litigation. Colt is continuing to supplement the Depository, as necessary. The Depository contains a reading room to be used to inspect the documents. The documents at the Depository are kept in copies of their original file folders as they are maintained in the ordinary course of business. The documents are searchable by a file level index containing various fields of information. Reasonable arrangements to access the Depository may be made with counsel for Colt. Certain categories of voluminous and repetitious documents are not contained in the Depository, but are available upon request and easily accessible to those visiting the Depository in Hartford, where their production is not otherwise objectionable or protected.

In its responses to a number of plaintiffs' requests, Colt has stated whether documents sought by the request have been produced to the Depository. If additional documents not located at the Depository are to be produced in response to one of plaintiffs' requests, such will be stated in Colt's specific response to that request.

Colt objects to the production of documents at a location other than Hartford, Connecticut, on the grounds of undue burden, inconvenience, and expense.

#### C. Privileged and Otherwise Protected Documents

Plaintiffs' requests do not, by their terms, exclude privileged or otherwise protected communications from the documents subject to these requests. Thus, Colt objects to each request to the extent it seeks the disclosure of documents or portions of documents that are privileged or protected for any reason, including documents or information protected by the attorney-client privilege, the attorney work-product doctrine, the joint defense or joint interest privilege, and/or any other applicable privilege or protection, including Section 3295 of the Code of Civil Procedure. Each response set forth herein is made subject to this objection.

In connection with the creation of the Depository (see paragraph B, supra), Colt is in the process of creating and will continue to supplement, as required, a privilege log of documents withheld from the Depository. The log will be produced to plaintiffs in accordance with Colt's obligations under Case Management Order No. 1 entered in this case.

### D. Trade Secrets and Other Confidential, Proprietary Business Documents

Colt objects to plaintiffs' requests to the extent they seek the disclosure of trade secrets or other confidential, proprietary research, development, commercial documents or other sensitive business and/or detailed pricing information without any showing of need — much less compelling need — for such documents. Any such document and/or documents Colt agrees to identify or produce in response to plaintiffs' discovery will be subject to the terms of the protective order governing this case. Confidential and highly confidential documents will be identified and produced in accordance with the protective order in this case, including paragraph 4 thereof.

# E. Documents Reflecting Firearms in Commerce Before September 16, 1994, and Documents Dated or Created Before March 22, 1990

Colt objects to plaintiffs' requests to the extent they seek documents created during or pertaining to time periods not relevant to the issues in this litigation. For example, Colt objects to plaintiffs' requests to the extent they seek documents that relate to allegations of wrongdoing before September 16, 1994. Such documents are neither relevant nor reasonably likely to lead to the discovery of admissible evidence because all claims asserted against Colt allegedly attributable to COLT brand firearms in commerce before September 16, 1994, were discharged in Colt's bankruptcy proceeding.

Colt also objects to plaintiffs' requests to the extent they seek documents dated, created, or relating to alleged wrongdoing before March 22, 1990, the date Colt came into existence. Such documents are neither relevant nor reasonably likely to lead to the discovery of admissible evidence because Colt became operational on March 22, 1990, after it was newly formed as a corporate entity and acquired the assets of the firearms division of what was then known as Colt Industries.

#### F. Documents Protected by the First Amendment

Colt objects to plaintiffs' requests to the extent they seek documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. Associational activity, as well as lobbying efforts and activities related thereto, are protected under the First Amendment. See, e.g., California Motor Transport Co. v. Trucking Unlimited, 404 U.S. 508, 510 (1972) ("[A]ttempts to influence the Legislative Branch for the passage of laws or the Executive Branch for their enforcement" cannot form the basis for liability). The "Noerr-Pennington" doctrine (based upon Eastern Railroad Presidents Conference v. Noerr Motor Freight, Inc., 365 U.S. 127 [1961], and United Mine Workers v. Pennington, 381 U.S. 657 [1965]), extends to petitioning directed at both federal and state legislators and executive department officials. California Motor, 404 U.S. at 510-11. The doctrine creates immunity from liability regardless of the motive for seeking government action. Noerr, 365 U.S. at 319. Thus, legislative "lobbying" is expressly protected under the First Amendment. Liberty Lobby, Inc. v. Pearson, 390 F.2d 489, 491 (D.C. Cir. 1967) ("every person or group engaged . . . in trying to persuade Congressional action is exercising the First Amendment right of petition"). The fact that Colt is a corporation does not change this fact.

Corporations are guaranteed the same rights as individuals to engage in political advocacy under the First Amendment. <u>First National Bank of Boston v. Bellotti</u>, 435 U.S. 765, 784 (1978). Discovery of such documents would interfere with and chill constitutionally protected rights and activities.

#### G. Plaintiffs' Requests Seek Irrelevant Information

Colt objects to plaintiffs' requests to the extent they seek information that is neither relevant nor reasonably calculated to lead to the discovery of admissible evidence. For example, Colt is principally a military supplier. Apart from the fact and percentage of Colt sales to the military and certain limited design and model type sales issues, materials related to sales, distribution, supply and/or marketing to military or international entities are not relevant to any of the issues in this case. Colt objects to plaintiffs' requests to the extent they seek such information. Colt objects to plaintiffs' requests to the extent they are not limited to documents that may be relevant to claims regarding commercial firearms and incidents in California and these California plaintiffs. Further, because plaintiffs have failed to identify any specific incident involving an accidental or unintentional discharge of a COLT brand firearm due to a lack of a safety device or an allegedly defective safety design, discovery regarding safety design issues related to COLT brand firearms is not relevant to the plaintiffs' claims. Similarly, discovery regarding Colt's general marketing or distribution policies or conduct unrelated to specific California incidents is not relevant to plaintiffs' claims.

#### H. Plaintiffs' Requests Seek Confidential Law Enforcement Documents

Colt objects to plaintiffs' requests to the extent they seek disclosure of documents that are considered confidential by law enforcement agencies. Colt will produce such documents, where appropriate, subject to approval by the appropriate law enforcement agencies or pursuant to court order.

### II. RESPONSES TO SPECIFIC REQUESTS

Subject to and without waiving any of its general objections, which are incorporated by reference into its responses to individual requests, as appropriate, Colt responds to plaintiffs' requests as follows:

#### **REQUEST NO. 1.:**

ALL DOCUMENTS that YOU produced during the course of discovery to ANY party in *Hamilton, et al. v. Accu-Tek, et al.*, United States District Court for the Eastern District of New York,

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#### **REQUEST NO. 2.:**

Civil No. CV-95-0049 (JBW) AND Young v. Bryco Arms, et al. No. 98-L-6684 (Cook County Circuit Court, Ill.), including, but not limited to, written responses to interrogatories, written responses to requests for admissions, written responses to requests for production of documents, AND documents responsive to requests for production of documents.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt also objects to this request on the grounds that it is overly broad and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it fails to limit itself to materials from other litigation that have some potential bearing upon the issues in this case. Plaintiffs have not tailored this request to documents that may bear on the issues framed by the pleadings in this case, but rather make a blanket request for documents produced in other cases, in different jurisdictions, involving different claims, different relevant facts, different alleged injuries, different issues, and different law. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of discovery responses (including responses to requests for admission, requests for production, and interrogatories) in product liability cases concerning firearm distribution practices, safety devices, safety design and/or other issues relevant to or concerning the claims in this case, including such documents from the <u>Hamilton</u> case, in which Colt was a party for the period March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to testimony given by YOU regarding FIREARMS, including, but not limited to, deposition testimony OR trial testimony in *Hamilton, et al. v. Accu-Tek, et al.*, United States District Court for the Eastern District of New York, Civil No. CV-95-0049 (JBW) AND *Young v. Bryco Arms, et al.* No. 98-L-6684 (Cook County Circuit Court, Ill.)

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt also objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it fails to limit itself to materials from other litigation that have some potential bearing upon the issues in this case. Plaintiffs have not tailored this request to documents that may bear on the issues framed by the pleadings in this case, but rather make a blanket request for documents related to testimony regarding firearms in other cases, in different jurisdictions, involving different claims, different relevant facts, different alleged injuries, different issues, and different law. Colt also objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of depositions of Colt employees and experts given in product liability cases concerning firearm distribution practices, safety devices, safety design and/or other issues relevant to or concerning the claims in this case, including such documents from the <u>Hamilton</u> case, in which Colt was a party for the period March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 3.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the petitions submitted by the Center to Prevent Handgun Violence OR Steve Teret, Jon Vernick, OR Garen Wintemute to the United States Federal Trade Commission on OR about February 14, 1996, OR ANY other petition submitted to the United States Federal Trade Commission regarding FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case, and is more properly directed to a third party. Colt also objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning the regulation of firearm advertising, including, if any, documents concerning any action or petition filed with the FTC regarding firearm advertising from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 4.:

ALL DOCUMENTS provided to OR received from ANY law enforcement agency, including, but not limited to, the ATF, the United States Federal Bureau of Investigation, the Alameda Sheriff's Department, the Berkeley Police Department, the California Highway Patrol, the East Palo Alto Police Department, the Oakland Police Department, the Oakland Police Service Agency, the Sacramento Police Department, the San Francisco Police Department, OR the San Mateo Sheriff's Department, regarding the CRIMINAL USE of ANY FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, not limited in time or scope, and seeks documents that are neither

relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by seeking communications with the ATF, the FBI and other non-California law enforcement agencies, the request encompasses criminal use of a firearm anywhere in the world, not just in California. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected (see paragraphs I.C. and I.D., supra) and seeks documents considered confidential by various law enforcement entities. (See paragraph I.H., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies of communications with relevant law enforcement agencies referring to the design, sale, manufacturing, testing and distribution of firearms, including trace requests and responses, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 5.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to COMMUNICATIONS between YOU and ANY law enforcement agency, including, but not limited to, the ATF, the United States Federal Bureau of Investigation, the Alameda Sheriff's Department, the Berkeley Police Department, the California Highway Patrol, the East Palo Alto Police Department, the Oakland Police Service Agency, the Sacramento Police Department, the San Francisco Police Department, OR the San Mateo Sheriff's Department, regarding the CRIMINAL USE of any FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, not limited in time or scope, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by seeking communications with the ATF, the FBI and other non-California law enforcement agencies, the request encompasses criminal use of a firearm anywhere in the world, not just in California. Colt further objects to this request to the extent it seeks documents that are confidential, and/or

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## 12 RESPONSE:

**REQUEST NO. 6.:** 

privileged or protected (<u>see</u> paragraphs I.C. and I.D., <u>supra</u>) and seeks documents considered confidential by various law enforcement entities. (<u>See</u> paragraph I.H., <u>supra</u>.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies of communications with relevant law enforcement agencies referring to the design, sale, manufacturing, testing and distribution of firearms, including trace requests and responses, and documents referring to such documents, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

ALL ADVERTISEMENTS for ANY FIREARM manufactured by YOU.

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's advertisements. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra.

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of Colt's published and draft advertisements from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY civil OR criminal complaints filed in ANY federal OR state court OR lodged with ANY administrative body that RELATE directly OR indirectly to FIREARMS in which YOU are OR were named as a party

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it fails to limit itself to materials from other litigation that have some potential bearing upon the issues in this case. Plaintiffs have not tailored this request to documents that may bear on the issues framed by the pleadings in this case, but rather make a blanket request for documents related to cases, in different jurisdictions, involving different claims, different relevant facts, different alleged injuries, different issues, and different law. For example, by seeking documents relating to complaints that relate "directly" or "indirectly" to firearms, this request as written would encompass documents related to complaints on issues unrelated to any issue in this case, such as licensing disputes or union complaints. Colt objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., <u>supra</u>.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of complaints and answers, discovery responses (including responses to requests for admission, requests for production, and interrogatories), and depositions given by Colt employees or experts, in product liability cases concerning firearm distribution practices, safety devices, safety design and/or other issues relevant to or concerning the claims in this case, in which Colt was a party for the period March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 8.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY testimony given by YOU to ANY member OR committee of ANY legislative body including, but not limited to, the United States Senate, United States House of Representatives, ANY state legislature, OR ANY political subdivision of ANY state OR territory, regarding firearms.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it fails to limit itself to materials from other litigation that have some potential bearing upon the issues in this case. Plaintiffs have not tailored this request to documents that may bear on the issues framed by the pleadings in this case, but rather make a blanket request for documents related to testimony before multiple legislative bodies, involving various applicable facts, issues, and law. Colt also objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt also objects to this request as duplicative of Request No. 2. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.B., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of statements or testimony given by Colt to governmental or legislative bodies concerning firearm distribution practices, safety devices, safety design and/or other issues relevant to or concerning the claims in this case, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 9.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to the gross yearly revenue that YOU derive from the sale of FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case or to sales in California. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of summary financial documents that would reflect Colt's annual sales revenue, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 10.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to the number of FIREARMS sold, produced, AND possessed in inventory OR other capacity by YOU identified by MAKE, model, AND caliber.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably

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#### **REQUEST NO. 11.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to YOUR yearly gross dollar sales AND unit sales for California, Nevada, and Arizona.

calculated to lead to the discovery of admissible evidence because, among other things, it is not limited

to documents that have some potential bearing on the issues in this case or to firearms produced or sold

in California. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited

to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G.,

supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or

states that it has produced to the Depository copies in its possession of summary documents that would

reflect the number of commercial firearms manufactured and/or sold by Colt on a monthly and/or yearly

basis, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to

supplement periodically, and as appropriate, its production of documents to the Depository.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

privileged or protected. (See paragraphs I.C. and I.D., supra.)

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case or to sales in California. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of summary financial documents

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#### REQUEST NO. 13.:

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that would reflect Colt's yearly sales revenue, as well as documents reflecting sales by certain distribution regions, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 12.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to YOUR profits derived from FIREARM sales for California, Nevada, AND Arizona.

#### **RESPONSE**:

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case or to sales in California. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of summary financial documents that would reflect Colt's yearly sales revenue, as well as documents reflecting sales by certain distribution regions, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

ALL DOCUMENTS, including, but not limited to, contracts, that IDENTIFY ANY DISTRIBUTOR, DEALER, RETAILER OR SELLER to whom YOU sell FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by seeking "all documents" that "identify any distributor, dealer, retailer or seller," this request, as written, would encompass any document that contained the name of any Colt distributor, dealer, retailer or seller, regardless of the content or context of the document. Colt also objects to this request as duplicative of Request No. 19. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of distributor agreements, correspondence or other communications with its commercial distributors related to the sale or distribution of COLT brand firearms, and other documents discussing the sale and/or distribution of COLT brand firearms, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 14.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU AND ANY DISTRIBUTOR, DEALER, RETAILER, OR SELLER of FIREARMS manufactured by YOU, including, but not limited to, COMMUNICATIONS regarding ATF TRACE REQUESTS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Specifically, the request is not limited to trace requests of firearms sold in California or to distributors or retailers that sell firearms in California. Colt also objects to this request as duplicative of Request No. 19. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected (see paragraphs I.C. and I.D., supra) and seeks documents considered confidential by various law enforcement entities. (See paragraph I.H., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of communications with its distributors and dealers, if any, related to the sale or distribution of COLT brand firearms, and ATF trace requests and responses, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 15.:

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY contracts between ANY DISTRIBUTOR, DEALER, RETAILER, OR SELLER who sells ANY FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it

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is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to distributors or retailers in California and it is an incomplete question (i.e., it asks for information on all contracts "between any distributor, dealer, retailer, or seller" but does not identify a second party to any such contracts). Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request No. 19. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of agreements between Colt and its distributors from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 16.:

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY INVENTORY CONTROL YOU employ OR have employed regarding FIREARMS manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's inventory control policies. (See paragraph I.G., supra.) Colt objects to this request as vague and ambiguous based on, among other things, its use of the term "inventory control," which is broadly and vaguely defined as "any direct or indirect management of the firearms, firearms parts, or accessories you

possess." Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning Colt's firearms control policies (as it understands the terms), including the firearms control manual, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 17.:

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to the SUPERVISION of DISTRIBUTORS, DEALERS, RETAILERS, OR SELLERS of FIREARMS manufactured by YOU, including, but not limited to, the detection of INAPPROPRIATE PURCHASERS, FIREARMS SAFETY RISKS, FIREARM SAFETY FEATURES, SAFETY DESIGNS, SAFETY DEVICES, SECURE GUN STORAGE DEVICES, training purchasers of FIREARMS, investigating purchasers' level of FIREARM skill OR knowledge, frequency of FIREARM purchases, STRAW PURCHASES, sales to KITCHEN TABLE DEALERS, MULTIPLE SALES, OR responsible distribution.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous, overly broad and unduly burdensome (e.g., in its use of the terms "firearms safety risks," "firearm safety features," "safety designs," "safety devices" and "responsible distribution" as defined by plaintiffs), and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by improper conduct by a distributor of a COLT brand firearm caused by an alleged lack of supervision by Colt. (See paragraph I.G., supra.) Plaintiffs' definitions of the terms used in this request are both vague and overly broad. For example, plaintiffs define "firearm safety feature" as including "any external or internal design, device, part or accessory, whether or not ever implemented, intended or proposed, to improve the safety of a

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**RESPONSE:** 

YOU.

**REQUEST NO. 18.:** 

firearm or to prevent or reduce any firearm safety risk." The inclusion of terms such as "intended," "proposed" and "risk" renders this definition unclear, vague and ambiguous. Colt also objects to this request as duplicative of Request No. 19. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected (see paragraphs I.C. and I.D., supra) and seeks documents considered confidential by various law enforcement entities. (See paragraph I.H., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of communications with distributors and retailers of COLT brand firearms concerning the sale and distribution of such firearms, distributor lists, distributor agreements and other documents, if any, concerning the supervision or termination of distributors, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

discounts, financing, or payment options, including, but not limited to, bulk discounts, that YOU offer to DISTRIBUTORS, DEALERS, RETAILERS, OR SELLERS who sell FIREARMS manufactured by

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by any distribution discount or payment option plan. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject

#### **REQUEST NO. 19.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to DISTRIBUTORSHIPS, DEALERSHIPS, RETAILERS OR SELLERS.

to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request

No. 19. Colt further objects to this request to the extent it seeks documents that are confidential, and/or

states that it has produced to the Depository copies in its possession of distributor agreements,

communications with distributors related to the sale or distribution of COLT brand firearms and

documents discussing distribution strategy for the sale of COLT brand firearms from March 22, 1990,

to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

privileged or protected. (See paragraphs I.C. and I.D., supra.)

as appropriate, its production of documents to the Depository.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by requesting all documents relating to distributorships, dealerships, retailers or sellers, this request, as written, seeks a vast number of documents with no potential relevance to the issues in this case, including, for example, documents relating to military-only distributors. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's distribution policies or practices. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

states that it has produced to the Depository copies in its possession of documents discussing marketing, advertising, sales, and distribution strategy for the sale of firearms, distributor agreements, and communications with its distributors related to the sale or distribution of COLT brand firearms from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 20.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to the termination of business dealings with DISTRIBUTORSHIPS, DEALERSHIPS, RETAILERS, AND SELLERS of FIREARMS manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's distribution policies or practices. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request No. 19. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing marketing, advertising, sales, and distribution strategy for the sale of firearms, distributor agreements, communications with its distributors related to the sale or distribution of COLT brand firearms, and documents concerning the termination of distributors, if any, from March 22, 1990, to the date the first

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## **REQUEST NO. 21.:**

production of documents to the Depository.

ALL DOCUMENTS that IDENTIFY ANY person employed, contracted with, OR retained by YOU to sell FIREARMS from January 1, 1990, to the present.

municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous (e.g., in its use of the term "sell" in the context of this request), overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the manner in which Colt sells its firearms. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request No. 19. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing marketing, advertising, sales, and distribution strategy for the sale of firearms, distributor agreements, communications with its distributors related to the sale or distribution of COLT brand firearms, and organizational charts that will identify Colt employees involved in the sale of Colt firearms, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the sale, promotion, design, manufacture, or distribution of FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is unduly burdensome, overly broad, not limited in time or scope and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to COLT brand firearms or documents prepared, received or retained by Colt or any other defendant, or to documents that have some potential bearing on the issues in this case. For example, by requesting all documents relating to the sale, promotion, design, manufacture or distribution of firearms, the request clearly encompasses vast quantities of documents with no potential relevance to the issues in this case, including virtually every document in Colt's possession. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the manner in which Colt sells, promotes, designs, manufactures or distributes firearms. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request on the grounds that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing the sale, promotion and distribution of COLT brand firearms, including distributor agreements, communications with distributors regarding the sale or distribution of firearms, documents discussing promotion, marketing, sales and distribution strategy, and documents concerning the design of firearm safety devices, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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#### **REQUEST NO. 23.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU AND ANY COMPETITOR regarding FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by not limiting or defining the scope of the communications it seeks, this request could encompass documents pertaining to communications between Colt and another manufacturer on issues that are not relevant to this case, including choices of colors for firearms or employment matters. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by any alleged agreement or communications between firearm manufacturers. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievance. (See paragraph I.F., supra.) Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents reflecting communications with other firearm manufacturers, if any, regarding the design or implementation of safety devices, the sale or distribution of firearms and/or other issues relevant to or concerning the claims in this case from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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#### **REQUEST NO. 24.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU AND Davis Industries, Inc. OR Sundance Industries, Inc.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by any alleged agreement or communications between firearm manufacturers. (See paragraph I.G., supra.) Colt also objects to this request as duplicative of Request No. 23. Colt objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents reflecting communications with other firearm manufacturers, if any, regarding the design or implementation of safety devices, the sale or distribution of firearms and/or other issues relevant to or concerning the claims in this case from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 25.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU and ANY FIREARMS trade organization, including, but not limited to, the American Shooting Sports Council, Inc., the Hunting and Shooting Sports Heritage Fund. the National Alliance of Stocking Gun Dealers, the National Rifle Association, the National Shooting Sports Foundation, Inc., AND the Sporting Arms AND Ammunition Manufacturers' Institute, Inc.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous (e.g., in its use of the term "trade organization"), overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents reflecting communications with the listed organizations that are not protected by Colt's First Amendment privileges, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 26.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY press releases, COMMUNICATIONS with the MEDIA OR public statements made OR issued by YOU regarding FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably

calculated to lead to the discovery of admissible evidence because, among other things, it is not limited 2 to documents that have some potential bearing on the issues in this case. Colt objects to this request to 3 the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request to the extent it seeks documents 4 5

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#### **REQUEST NO. 27.:**

production of documents to the Depository.

and I.D., supra.)

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to COMMUNICATIONS between YOU and ANY purchaser of ANY FIREARM manufactured by YOU including, but not limited to, DOCUMENTS regarding SAFETY DESIGNS, SAFETY DEVICES SECURE GUN STORAGE DEVICES, FIREARMS SAFETY RISKS, AND FIREARM SAFETY FEATURES.

created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and

to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt objects to this

request to the extent it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C.

states that it has produced to the Depository copies in its possession of press releases and other public

statements by Colt regarding firearm distribution practices, safety devices, safety design and/or other

issues relevant to or concerning the claims in this case, if any, from March 22, 1990, to the date the first

municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous, overly broad and unduly burdensome (e.g., in its use of the terms "safety designs," "safety devices," "firearms safety risks" and "firearms safety features" as defined by the plaintiffs), and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not

#### **REQUEST NO. 28.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU and ANY victim of a SHOOTING OR the relative of ANY victim of a SHOOTING.

limited to the national, commercial firearms market, pursuant to and subject to the limitations of

paragraph I.G., supra. The request as written would encompass documents concerning communications

with purchasers regarding issues such as customization of firearms or custom grips. Additionally,

plaintiffs have not identified any communication (or lack thereof) between Colt and a purchaser of a

states that it has produced to the Depository copies in its possession of correspondence with consumers

concerning safety devices and safety design, documents reflecting warnings given to consumers,

including warnings in manuals accompanying COLT brand firearms, and brochures or other materials

prepared for the public regarding firearms safety, including safe use and storage of firearms, from March

22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

COLT brand firearm related to their claims. (See paragraph I.G., supra.)

periodically, and as appropriate, its production of documents to the Depository.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, it requests information related to shooting victims without limiting it to shootings in California. (See paragraph I.G., supra.) Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of communications with individuals

who have been injured with a COLT brand firearm, where the relevant facts concerned firearm distribution practices, safety devices, safety design and/or other issues relevant to or concerning the claims in this case, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 29.:

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to the sale of FIREARMS at GUN SHOWS, including, but not limited to, COMMUNICATIONS between YOU AND ANY PERSON who sells FIREARMS manufactured by YOU at GUN SHOWS AND ALL DOCUMENTS regarding the sale of FIREARMS manufactured by YOU at GUN SHOWS.

#### RESPONSE:

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous, overly broad, unduly burdensome and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to sales in California or to documents that have some potential bearing on the issues in this case. For example, plaintiffs' definition of the term "gun shows" as including any "gathering at which two or more persons or entities are engaged in the business of selling, trading or transferring firearms" is vague and overly broad. Additionally, plaintiffs have not identified any specific incident of misses of a COLT brand firearm in California caused by a COLT brand firearm purchased at a gun show. (See paragraph I.G., supra.) Colt also objects to this request as duplicative of Request No. 30. Colt farther objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing the sale and distribution of COLT brand firearms including, if any, documents concerning the sale of COLT brand firearms at gun shows from March 22, 1990, to the date the first municipal firearms lawsuit was

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filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 30.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to OR RELATE to the sale of FIREARMS manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by requesting all documents that reflect, refer to or relate to the sale of Colt firearms, the request clearly encompasses vast quantities of documents with no potential relevance to the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the way in which Colt sells its firearms. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt objects to this request as duplicative of Request No. 19 and No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing the sale and distribution of COLT brand firearms from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Additionally, Colt states that voluminous repetitive documents that may be responsive to this request, such as invoices, have not been produced to the Depository at this time but are available upon request and easily accessible to those visiting the Depository. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY MARKET RESEARCH regarding FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs' definition of "market research" includes "any review of information to assist in marketing any product or potential product." This request as written would, therefore, encompass documents related to the marketing of Colt products other than firearms, such as knives or clothing. Such materials are not relevant to any potential issue in this case. Additionally, plaintiffs' definition states that it includes any review of information conducted by "any third party whether or not under [Colt's] direction." Such a request is clearly overly broad and unduly burdensome, because, among other things, it is not limited to Colt or COLT brand firearms. Further, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's marketing practices. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request on the grounds that it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing marketing, advertising and sales strategy for the sale of firearms, including relevant market research, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 32.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to NEW PRODUCT DEVELOPMENT, including, but not limited to, ANY new product design OR feature.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request as vague and ambiguous (e.g., in its use of the terms "product design or feature" in the context of this request). Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by seeking all documents related to product development and product design or features without specifications or limitations, the request encompasses documents with no potential relevance to the issues in this case, including documents on design features such as color, engraving or other cosmetic features. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the design of a COLT brand product. (See paragraph I.G., supra.) Colt further objects to this request on the grounds that it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing firearm safety design, research and development from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt also states that voluminous, repetitive design drawings have not been produced to the Depository but are available to plaintiffs on specific request and easily accessible to those visiting the Depository. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 33.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to YOUR DOCUMENT CONTROL.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents reflecting Colt's document retention policy from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt also refers plaintiffs to its Response to Special Interrogatory No. 44. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 34.:**

ALL organizational charts AND other DOCUMENTS IDENTIFYING YOUR owners, officers, OR directors.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt also objects to this request as vague and ambiguous (e.g., in its use of the terms "other documents"). Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of organizational charts from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 35.:**

ALL BROCHURES for ANY FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of brochures, manuals or other documents related to Colt firearms prepared and/or distributed to consumers or the general public from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 36.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the safe use AND storage of FIREARMS, including, but not limited to, DOCUMENTS regarding CHAMBER LOADED INDICATORS, MAGAZINE DISCONNECT SAFETIES, PERSONALIZED GUN SYSTEMS, SAFETY DESIGNS, SAFETY DEVICES, AND SECURE GUN STORAGE DEVICES.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have

not identified any specific incident of misuse of a COLT brand firearm in California caused by the design and/or presence or absence of a safety device on a COLT brand firearm. (See paragraph I.G., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of documents concerning the safe use and storage of firearms and firearm safety devices, including Colt manuals and brochures, other product warnings given by Colt, and documents concerning research, development, testing, marketing and distribution of firearm safety devices from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

### REQUEST NO. 37.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the estimated OR actual production cost in total AND per FIREARM for CHAMBER LOADED INDICATORS, MAGAZINE DISCONNECT SAFETIES, PERSONALIZED GUN SYSTEMS, SAFETY DESIGNS, AND SAFETY DEVICES.

# **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, compound, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the design and/or presence or absence of a firearm safety device on a COLT brand firearm. (See paragraph I.G., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

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**RESPONSE:** 

the Depository.

**REQUEST NO. 38.:** 

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, not limited in time and scope, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, this request as written would compel Colt to provide documents on every injury or death caused during a war or other military action involving the use of a Colt M-16 or other military weapons. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market. pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.B., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY

states that it has produced to the Depository copies in its possession of documents concerning the design.

sale, manufacturing, testing and distribution of firearms and firearm safety devices, that may include

information of the type requested, from March 22, 1990, to the date the first municipal firearms lawsuit

was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to

injuries or deaths caused by the use of ANY FIREARM manufactured by YOU.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents regarding injuries purportedly caused by COLT brand firearms related to safety devices or firearm design and documents discussing firearm-related crime statistics, if any, and copies of Complaints and Answers, discovery responses, and depositions of Colt employees and experts in product liability cases concerning firearm

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#### **REQUEST NO. 39.:**

of documents to the Depository.

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the UNINTENTIONAL FIRING of ANY FIREARM manufactured by YOU, including, but not limited to, complaints regarding malfunctions OR accidental DISCHARGES.

distribution practices, safety devices, safety design and/or other issues relevant to or concerning the

claims in this case in which Colt was a party from March 22, 1990, to the date the first municipal

firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production

## **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of accidental discharge of a COLT brand firearm in California. (See paragraph I.G., supra.) Colt also objects to this request as vague and ambiguous (e.g., in its use of the terms "unintentional firing," "malfunctions" and "accidental discharges"). Plaintiffs' attempt to define "unintentional firing" as "any discharge of ammunition from a firearm that is not intended by the person in possession of the firearm" only compounds the ambiguity of this request (e.g., by asking Colt to determine what a firearm user intended at the time he or she discharged a firearm). Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of documents regarding injuries purportedly caused by COLT brand firearms related to safety devices or firearm design, documents discussing firearm-related crime statistics, if any, and copies of Complaints and Answers, discovery responses, and depositions of Colt employees and experts in product liability cases concerning firearm

# REQUEST NO. 40.:

of documents to the Depository.

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the illegal sale OR use of ANY FIREARM manufactured by YOU OR ANY COMPETITOR, including, but not limited to, ANY CRIMINAL USE of a FIREARM.

distribution practices, safety devices, safety design and/or other issues relevant to or concerning the

claims in this case in which Colt was a party from March 22, 1990, to the date the first municipal

firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production

### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by an alleged illegal sale of a COLT brand firearm. (See paragraph I.G., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected (see paragraph I.B., supra) and seeks documents considered confidential by various law enforcement entities. (See paragraph I.H., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning the sale and distribution of COLT brand firearms, documents concerning injuries purportedly caused by COLT brand firearms related to safety devices or firearm design, which may include documents, if any, concerning the illegal use of firearms, and documents discussing firearm-related crime statistics, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

### REQUEST NO. 41.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the SECONDARY MARKET for FIREARMS.

# RESPONSE:

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad and unduly burdensome (e.g., by defining "secondary market" as "any sale, transfer, or trade of a firearm in which the manufacturer of the firearm is not a party"), and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the sale of a COLT brand firearm through an alleged secondary market. (See paragraph I.G., supra.) Colt also objects to this request as duplicative of Request No. 22 and No. 30.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that while it denies participation in any illegal "secondary market" for firearms, it has produced to the Depository copies in its possession of documents concerning the sale and distribution of COLT brand firearms, including, if any, documents concerning any illegal sales (such as "straw" purchases) of COLT brand firearms from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

# REQUEST NO. 42.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the SCREENING of potential purchasers of FIREARMS, including, but not limited to, POLICIES regarding the training of purchasers, DISTRIBUTORS, DEALERS, RETAILERS, AND SELLERS of FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing

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### **REQUEST NO. 43.:**

production of documents to the Depository.

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the use of FIREARMS by INAPPROPRIATE PURCHASERS, including, but not limited to, UNDERAGE PERSONS.

before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it

is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably

calculated to lead to the discovery of admissible evidence because, among other things, it is not limited

to documents that have some potential bearing on the issues in this case. For example, plaintiffs have

not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's

distribution or sales policies or practices. (See paragraph I.G., supra.) Colt also objects to this request

to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject

to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request

No. 19. Colt further objects to this request to the extent it seeks documents that are confidential, and/or

states that it has produced to the Depository copies in its possession of documents discussing marketing,

advertising, sales, and distribution strategy for the sale of firearms, distributor agreements, and

communications with its distributors related to the sale or distribution of COLT brand firearms, that may

include documents of the type sought by this request, from March 22, 1990, to the date the first

municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

privileged or protected. (See paragraphs I.C. and I.D., supra.)

# **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms as to documents that have some potential bearing on the issues in this

supra.)

# REQUEST NO. 44.:

production of documents to the Depository.

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to SHOOTING GAMES

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

case. For example, plaintiffs have not identified any specific incident of use of a COLT brand firearm

by an inappropriate purchaser in California. (See paragraph I.G., supra.) Colt objects to this request to

the extent it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D.

states that it has produced to the Depository copies in its possession of documents regarding the sale and

distribution of COLT brand firearms and documents concerning firearm-related crime statistics, which

may include, if any, documents responsive to this request from March 22, 1990, to the date the first

municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its

# **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Plaintiffs have made no allegations in this case relating to Colt's involvement in or responsibility for shooting games and have not identified any specific incident of misuse of a COLT brand firearm in California caused by shooting games. (See paragraph I.G., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of documents concerning Colt's sponsorship of or involvement with shooting games, if any, from March 22, 1990, to the date the first

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municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

## **REQUEST NO. 45.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to YOUR marketing of FIREARMS.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's marketing policies or programs. (See paragraph I.G., supra.) Colt also objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as unduly burdensome as it is duplicative of Request No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents discussing marketing, advertising, sales, and distribution strategy for the sale of firearms, distributor agreements, communications with its distributors related to the sale, marketing or distribution of COLT brand firearms and marketing plans, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

### **REQUEST NO. 46.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY distribution agreement for the sale of FIREARMS, including, but not limited to, ANY agreement to sell, transfer, trade, OR supply any FIREARM manufactured by YOU.

## **RESPONSE**:

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the manner in which Colt distributes its firearms. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request No. 19 and Request No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of distributor agreements and other documents concerning agreements for the distribution of COLT brand firearms, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 47.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY design OR production method that would reduce tampering with serial numbers on a FIREARM.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing

before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by tampering with a serial number. (See paragraph I.G., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning the use or implementation of tamper-resistant serial numbers, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

# REQUEST NO. 48.:

ALL price lists or other DOCUMENTS which REFLECT the wholesale OR suggested retail price for ANY FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of communications with distributors that may include price lists for COLT brand firearms, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Additionally, Colt states that, while it has not produced voluminous, repetitive price lists to the Depository, such documents may be available upon request and easily accessible to those visiting the Depository. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

### **REQUEST NO. 49.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the naming OR the renaming of ANY FIREARM manufactured by YOU OR RELATE to the design OR features of ANY FIREARM manufactured by YOU.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is vague and ambiguous (e.g., in its use of the terms "design" or "features" in the context of this request), overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, by seeking all documents related to design or features without specifications or limitations, the request could encompass documents with no potential relevance to the issues in this case, including documents on design features such as color, engraving or other cosmetic features. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the name or design of any firearm. (See paragraph I.G., supra.) Colt also objects to this request to the extent it is duplicative of Request No. 32. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning safety features and safety design from March 22, 1990, to the date the first municipal firearms lawsuit was

filed. Additionally, Colt states that voluminous, repetitive design drawings have not been produced to the Depository but are available upon request and easily accessible to those visiting the Depository. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

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#### **REQUEST NO. 50.:**

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ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to YOUR ability OR YOUR COMPETITORS' ability to manufacture fingerprint resistant FIREARMS OR UNDETECTABLE FIREARMS.

Colt objects to this request to the extent it seeks documents pertaining to COLT brand

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# **RESPONSE:**

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firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it

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discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand

is overly broad and seeks documents that are neither relevant nor reasonably calculated to lead to the

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firearms or to documents that have some potential bearing on the issues in this case. For example,

15 16 plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California involving a fingerprint resistant firearm or undetectable firearm. (See paragraph I.G., supra.) Colt also

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objects to the use of the terms "fingerprint resistant firearms" in this request as vague, ambiguous and

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argumentative. Colt further objects to this request to the extent it seeks documents that are confidential,

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and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

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states that it has produced to the Depository copies in its possession of documents concerning fingerprint

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

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resistant or undetectable firearms, if any, from March 22, 1990, to the date the first municipal firearms

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lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of

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documents to the Depository.

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#### REQUEST NO. 51.:

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ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY FIREARM with a THREADED BARREL.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by a firearm with a threaded barrel. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it does not currently produce any commercial firearms with a threaded barrel.

#### REQUEST NO. 52.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the use of ANY ARMOR PIERCING AMMUNITION with ANY FIREARM manufactured by YOU OR ANY COMPETITOR.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the use of armor-piercing ammunition. (See paragraph I.G., supra.) Colt

objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., <u>supra</u>.

Subject to and without waiving these objections and subject to paragraph I.B., <u>supra</u>, Colt states that it has produced to the Depository copies in its possession of documents, if any, concerning the use of armor-piercing ammunition in COLT brand firearms, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 53.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to the CONCEALABILITY OR the barrel length of any FIREARM.

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Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the barrel length or concealability of a firearm. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as vague, ambiguous and argumentative in its use of the term "concealability," defined by plaintiffs as "the ability of any firearm to be hidden from view." As written, this would mean that any firearm that could be "hidden from view" in any way — including, for example, putting a shotgun in a closet or a grenade launcher inside a tank, would be concealable according to plaintiffs' definition. Colt objects to this request to the extent it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., <u>supra</u>.)

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Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents, if any, concerning the design of COLT brand firearms to be concealable, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

# **REQUEST NO. 54.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY SELECT FIRE FIREARM manufactured by YOU OR ANY COMPETITOR.

## **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms, to firearms manufactured or sold in California, or to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that Colt does not manufacture or sell any select firearms for the United States commercial firearms market.

# REQUEST NO. 55.:

DOCUMENTS that REFLECT, REFER to. OR RELATE SEMIAUTOMATIC FIREARM manufactured by YOU OR ANY COMPETITOR which can be CONVERTED to an AUTOMATIC FIREARM.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by conversion of a semi-automatic firearm to an automatic firearm. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents, if any, concerning conversion of semi-automatic COLT brand firearms to automatic firearms, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 56.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY method of distribution of ANY FIREARM manufactured by YOU, including, but not limited to, POLICIES regarding the DISTRIBUTORS, DEALERS, RETAILERS, AND SELLERS to whom YOU supply ANY FIREARM manufactured by YOU AND POLICIES regarding the number of FIREARMS YOU furnish to ANY DISTRIBUTOR, DEALER, RETAILER, OR SELLER.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably

calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to Colt or COLT brand firearms or to documents that have some potential bearing on the issues in this case. Additionally, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's distribution policies or practices. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as unduly burdensome as it is duplicative of Request No. 19 and Request No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of distributor agreements, correspondence with distributors, and other documents concerning Colt's distribution methods, strategy and/or policies, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY offer of monetary OR other incentives to DISTRIBUTORS, DEALERS, RETAILERS, OR SELLERS.

# **RESPONSE:**

REQUEST NO. 57.:

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by Colt's distribution programs or practices. (See paragraph I.G., supra.) Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the

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limitations of paragraph I.G., <u>supra</u>. Colt also objects to this request as unduly burdensome as it is duplicative of Request No. 19 and Request No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (<u>See</u> paragraphs I.C. and I.D., <u>supra</u>.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning Colt's distribution strategy or policies, and correspondence or other communications with distributors regarding the sale or distribution of COLT brand firearms, that may include documents of the type sought by this request, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### **REQUEST NO. 58.:**

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY return of FIREARMS manufactured by YOU.

## **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents, if any, concerning returned firearms where the reason for the return related to safety design, safety features, and/or other issues relevant to or concerning the claims in the case, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 59.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to any insurance policy OR indemnification agreement that may provide coverage to YOU for ANY of the claims OR causes of action asserted in this action OR that may provide reimbursement for payments made in defense of this action, including, but not limited to, ANY primary insurance policy, excess insurance policy, AND joint insurance policy.

### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections, Colt states that the Complaint contains broad, vague and conclusory allegations concerning defendants' products. The Complaint's lack of particularity has made it impossible for Colt to discern with specificity the basis of plaintiffs' claims against it or to provide any certain response to this request. However, Colt states that it believes that plaintiffs' claims may be covered under one or more of the policies of insurance listed below:

- 1. Twin City Fire Insurance Company Policy Number: 02 CES SS4089
- 2. Granite State Insurance Company Policy Number: CDO 969-43-80
- 3. Granite State Insurance Company Policy Number: CDO 992-57-19
- 4. Twin City Fire Insurance Company Policy Number: 02 CES SS4301
- 5. Reliance Insurance Company of Illinois Policy Number: NGB 0106611
- 6. AIG (National Union)
  Policy Number: GL4570410
- 7. AIG (AISLIC)
  Policy Number: GLCM4570411
- 8. AISLIC Policy Number: BE8198034
- 9. AIG (National Union)
  Policy Number: GL1465869

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|----------|---|---|
| 1        | 10.   | AIG - AISLIC<br>Policy Number: GLCM1465870                                |
| 3        | 11.   | AISLIC<br>Policy Number: BE8198005  |
| 4        | 12.   | AIG (National Union) Policy Number: GL1464143                             |
| 5        | 13.   | AIG - AISLIC<br>Policy Number: GLCM7707859                                |
| 7        | 14.   | AIG (National Union) Policy Number: BE309-58-62                           |
| 8        | 15.   | Steadfast Insurance Company Policy Number: SUC 8083857-01; SUC 8083857-00 |
| 10<br>11 | 16.   | Sporting Arms Insurance Limited Policy Number: SAIL 152/90                |
| 12       | 17.   | Sporting Arms Insurance Limited Policy Number: SAIL 152/90G               |
| 13<br>14 | 18.   | Sporting Arms Insurance Limited Policy Number: SAIL 152/91H               |
| 15<br>16 | 19.   | Reliance Insurance Company Policy Number: NGD0106610 NGD0106611           |
| 17       | Additionally, Colt states it has conducted a reasonable review of its documents and files   |   |
| 18       | consistent with Colt's obligations under the California Rules of Civil Procedure, in an effort to identify  |   |
| 19       | documents that would relate to the subject matter of this request. As a result of this search, copies of the  |   |
| 20       | policies identified above have been produced to the Depository and are available to the plaintiffs for  |   |
| 21       | inspection and copying upon request. Thus, the documents sought by this request may be found in the   |   |
| 22       | Depository.   |   |
| 23       |   |   |
| 24       | REQ   | UEST NO. 60.:   |
| 25       | ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY sale, trade, OF transfer of ANY FIREARM OR FIREARM MAGAZINE manufactured by YOU to ANY federal, state OR local law enforcement agency. |   |
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#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning the sale of COLT brand firearms to law enforcement agencies and documents concerning the transfer of firearms to law enforcement agencies for testing or other purposes, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

#### REQUEST NO. 61.:

ALL DOCUMENTS that CONSTITUTE OR are included in the packaging for ANY FIREARM manufactured by YOU, including, but not limited to, ANY instruction manual, warning, box, OR insert.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. For example, plaintiffs have not identified any specific incident of misuse of a COLT brand firearm in California caused by the materials or documents included with firearms sold by Colt. (See paragraph I.G., supra.) Colt objects

#### REQUEST NO. 62.:

ALL DOCUMENTS which CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY federal FIREARMS license AND ANY proof of federal FIREARMS license held by YOU OR ANY DEALER, DISTRIBUTOR, RETAILER, OR SELLER who sells FIREARMS manufactured by YOU.

to this request to the extent that it is not limited to the national, commercial firearms market, pursuant

states that it has produced to the Depository copies in its possession of all brochures, manuals and other

materials provided with the sale of COLT brand firearms, including warnings and instructions for safe

use and storage, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt

intends to supplement periodically, and as appropriate, its production of documents to the Depository.

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt

to and subject to the limitations of paragraph I.G., supra.

# **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt further objects to this request to the extent that it seeks confidential, and/or privileged or protected documents. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of Colt's federal firearms license and copies of correspondence with distributors and/or retailers, if any, regarding the sale and distribution of COLT brand firearms, including copies of distributors' federal firearms licenses where such licenses have been sent to Colt, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt

#### REQUEST NO. 63.:

ALL DOCUMENTS that REFLECT, REFER to, OR RELATE to ANY COMMUNICATIONS between YOU AND ANY PERSON relating to compliance OR non-compliance with ANY law, regulation, statute, OR ordinance relating to FIREARM sales, manufacture, OR distribution.

# **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request to the extent it seeks documents created in the exercise of Colt's First Amendment rights to freedom of speech, freedom of assembly, and to petition the government for redress of grievances. (See paragraph I.F., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent that it is not limited to the national, commercial firearms market, pursuant to and subject to the limitations of paragraph I.G., supra. Colt also objects to this request as duplicative of Request No. 19 and Request No. 22. Colt further objects to this request to the extent it seeks documents that are confidential, and/or privileged or protected. (See paragraph I.C., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of documents concerning the sale and distribution of COLT brand firearms, including communications with law enforcement agencies and distributors of COLT brand firearms, which may include documents of the type sought by this request, and documents concerning legislation regarding the manufacture, sale, and distribution of firearms that are not protected by Colt's First Amendment privileges, if any, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

### **REQUEST NO. 64.:**

ALL DOCUMENTS that CONSTITUTE, REFLECT, REFER to, OR RELATE to ANY of YOUR year-end financial statements, audited OR unaudited, including, but not limited to, ANY statement of assets and liabilities.

#### **RESPONSE:**

Colt objects to this request to the extent it seeks documents pertaining to COLT brand firearms in commerce before September 16, 1994, and/or information relating to alleged wrongdoing before March 22, 1990. (See paragraph I.E., supra.) Colt objects to this request on the grounds that it is overly broad, unduly burdensome, and seeks documents that are neither relevant nor reasonably calculated to lead to the discovery of admissible evidence because, among other things, it is not limited to documents that have some potential bearing on the issues in this case. Colt objects to this request to the extent it seeks confidential, and/or privileged or protected documents. (See paragraphs I.C. and I.D., supra.)

Subject to and without waiving these objections and subject to paragraph I.B., supra, Colt states that it has produced to the Depository copies in its possession of annual financial reports presented to the Board of Directors, from March 22, 1990, to the date the first municipal firearms lawsuit was filed. Colt intends to supplement periodically, and as appropriate, its production of documents to the Depository.

WRIGHT & L'ESTRANGE Attorneys for Defendant Colt's Manufacturing Company, Inc.

DATED: November 13, 2000

Robert C. Wright Signing As To Objections

## **VERIFICATION**

- I, Terry McSweeney, declare:
- 1. I am the Director of Information Services of defendant Colt's Manufacturing Co., Inc.
- 2. I have read the foregoing Second Supplemental Response of Defendant Colt's Manufacturing Co., Inc., to Plaintiff's First Set of Request for Production of Documents ("Response").
- 3. The Response was prepared with the assistance of legal counsel upon whom I have relied since some of the matters stated therein are not within my personal knowledge.
- 4. I have personal knowledge or am informed and believe that the matters stated in the Response are true and on that ground declare under penalty of perjury under the laws of the State of California that the Response is true and correct.

Executed this 10<sup>th</sup> day of November, 2000.

Terry McSweeney

SUBSCRIBED and SWORN to before me on this 10th day of November 2000.

Notary Public, State of Connecticut

ISABELLE DEFOSSES

NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2002