1	KAMALA D. HARRIS Attorney General of California								
2	ZACKERY P. MORAZZINI Supervising Deputy Attorney General								
3	KIMBERLY GRAHAM								
4	Deputy Attorney General PETER A. KRAUSE								
5	Deputy Attorney General State Bar No. 185098								
6	1300 I Street, Suite 125 P.O. Box 944255								
7	Sacramento, CA 94244-2550 Telephone: (916) 324-5328								
8	Fax: (916) 324-8835 E-mail: Peter.Krause@doj.ca.gov								
9	Attorneys for Defendants and Respondents State of California, Edmund G. Brown Jr., and the								
	California Department of Justice								
10	GUDEDIOD COUDT OF TH								
11	SUPERIOR COURT OF THE STATE OF CALIFORNIA								
12	COUNTY OF FRESNO								
13									
14	SHERIFF CLAY PARKER, et al.,	Case No. 10CECG02116							
15	Plaintiffs and Petitioners,	DECLARATION OF BLAKE GRAHAM							
16	<b>v.</b>	IN SUPPORT OF THE STATE'S OPPOSITION TO MOTION FOR							
17		SUMMARY JUDGMENT OR, IN THE ALTERNATIVE, SUMMARY							
18	THE STATE OF CALIFORNIA, et al.,	ADJUDICATION / TRIAL BRIEF							
19		Date: January 18, 2011							
20	Respondents.	Time: 8:30 a.m. Dept.: Dept. 402							
21		Judge: Hon. Jeffrey Hamilton							
22		Trial Date: January 18, 2011 Action filed: June 17, 2010							
23		<u> </u>							
24									
25									
26									
27									
28		1							
	II	1 contract the second s							

Declaration of Blake Graham in Support of Opposition to Motion for Summary Judgment, Etc. (10CECG02116)

I, Blake Graham, declare as follows:

1. I am a Special Agent Supervisor for the California Department of Justice,
Bureau of Firearms. I make this declaration of my own personal knowledge and
experience and, if called as a witness, I could and would testify competently to the truth of
the matters set forth herein.

#### **Education**

2. I received a Bachelor of Science degree in May 1992 in Criminal Justice at the California State University Sacramento. My coursework included forensics, corrections, and a number of classes in criminal justice-related topics. During college, I also had an internship at the District Attorney's office in Sacramento.

### **Relevant Work Experience**

- 3. From September 1994 through November 1999, I was an investigator for the California Department of Alcoholic Beverage Control (ABC) and my duties included enforcing the Alcohol Beverage Control Act, and any other violations of law occurring in and around ABC licensed establishments. The non alcohol related investigations included undercover buys of narcotics, reverse stings involving stolen property, and food stamp fraud. The investigations sometimes involved the recovery of firearms and ammunition, which would be catalogued (including caliber) and booked into evidence. While employed as an Investigator at ABC I qualified with and carried a Glock Model 17, 9mm semi automatic pistol and a Smith & Wesson, Model 60, .38 Special revolver.
- 4. From November 1999 through October 2002, I was a Special Agent, California Department of Justice (DOJ), Bureau of Narcotic Enforcement (BNE). I was assigned to the Violence Suppression Program within BNE. During that time my primary areas of investigation were in violent crimes and various violations occurring at California gun shows. My duties as the gun show agent was to lead my unit's investigations at gun shows in several counties throughout the San Francisco Bay Area. I was responsible for visiting the various gun shows and seeing if there were illegal activities occurring. I had

27

28

to identify ammunition and firearms for sale at the gun shows as part of these duties. As part of my normal duties I also had to maintain proficiency with Department issued handguns and long guns. During this time I learned what cartridges fit into my own issued firearms and other Department issued firearms not specifically assigned to me At the time, I carried a Glock, Model 23, .40 caliber semi automatic pistol and a Heckler & Koch, MP5 9mm submachine. At the gun shows, I reviewed different rifles and handguns and ammunition and often would be involved in the seizure of guns and ammunition from felons, parolees, and probationers.

- From October 2002 to the present, I have been a Special Agent and Special Agent Supervisor, for the DOJ's Bureau of Firearms (BOF). In my career I have attended at least forty gun shows and have become very knowledgeable on current laws pertaining to the sales of firearms in the State of California. The California DOJ BOF also maintains the State's Assault Weapon Registry. If a person with registered assault weapons or other firearms becomes prohibited from possessing firearms I am assigned to recover the firearms. I also investigate prohibited people in possession of firearms, illegal trafficking of firearms, manufacturing of assault weapons, machine guns, and illegal possession of ammunition. I also investigate gun dealers suspected of illegal activities and continue to monitor gun shows for illegal activities. While employed by the DOJ BOF I have carried and qualified with 2 different .45 caliber semi automatic pistols, 2 different Glock .40 caliber, semi automatic pistols and a Colt, Model M-4, 5.56 mm machine gun. I have access to other Department owned handguns, shotguns, submachine guns, machine guns, rifles, shotguns and 40 mm "less lethal" launchers. I have trained other Special Agents of DOJ's Bureau of Firearms on assault weapons identification, firearms identification. I also have given firearms identification classes to members of the Sacramento and San Joaquin DA's offices.
- 6. For the last two years, I have assumed responsibility for reviewing handguns that are submitted by manufacturers for inclusion in California's roster of handguns certified for sale. This involves breaking the weapons down into their smaller

components, looking at those, comparing them to ones already on the list, and helping to make determinations about whether a handgun should be added to the roster or not. For the weapons that I review as part of this function of my job, I have to identify specific calibers marked on the guns that match the paperwork submitted by the manufacturers, and as such, I gain an understanding of calibers common to handguns in California and I gain an understanding of the calibers and weapons that are being submitted for the DOJ roster. There are currently over 1300 handguns included in the roster. I have access to a sample handgun for each gun on the roster. A true and correct copy of DOJ's current Roster of Handguns Certified for Sale is attached as **Exhibit "H"** to the State's Evidence in Support of its Opposition to Plaintiffs' Motion for Summary Judgment or, In the Alternative, Summary Adjudication ("State's Compendium of Evidence").

## **Relevant Training Courses**

- 7. During my career, I have completed the following firearms training courses:
- a. 12/15/1994: I completed a 365 hour Peace Officer Standards and Training (POST) recognized Basic Specialized Investigator Academy at the Yuba Community College in Linda, CA. This included all the normal activities of a police academy except traffic enforcement, including arrest and control, firearms, and legal training. During the shooting range portion of this training, I received instruction on the proper calibers and cartridges to use in various handguns and long guns.
- b. 3/16/2000: I completed a 32 hour class in "Entry Weapons" taught by the California Department of Justice, Advanced Training Center. At the time, I carried a Heckler and Koch MP5 submachine gun which chambered a 9mm Luger pistol cartridge, but I received training on other weapons including the Colt M4 and Bushmaster M4 variants chambered in .223 and 5.56 mm. During this course, part of the training involved using a pistol when our machine guns had a malfunction.
- c. 3/19/2000: I completed an 80 hour class in Advanced Tactical Operations, Less Lethal Munitions & Chemical Agent Certification taught by the California Department Of Justice, Advanced Training Center.

- d. 1/20/2002: I completed a 24 hour class in Assault Rifle training, taught by the California Department of Justice, Advanced Training Center. This training included long range firing of a Colt M4 machine guns chambered in 5.56 mm and .223 caliber.
- e. 11/08/2002: I completed a 40 hour California Peace Officer Standards and Training (POST) approved Firearms Instructor/Rangemaster School. This included training to become a range master and instruct others in the proper and safe use of firearms, nomenclature regarding various weapons, shooting, how to set up classes and how to instruct others. In this course I dealt with handguns, rifles, and shotguns. The manual for this class dealt with cartridge composition, meaning the bullet itself, the propellant, and the casing. We also covered common calibers that are used by law enforcement, including the benefits of certain calibers over others. I also received training on what rifle ammunition was and what handgun ammunition was. I was certified as a Range Master after this training.
- f. 9/18/2003: I completed a 24 hour class on Heckler & Koch MP5 trigger groups and USP pistols. This class was taught by Heckler & Koch International Training Division. This training course dealt with the repair, identification and some modifications on the MP5 submachine gun trigger groups. A portion of the class dealt with variants of the USP pistol produced by Heckler & Koch. I learned that the MP5 was predominantly a 9mm submachine gun, but that 10mm and .40 caliber variants existed.
- g. 5/14/2004: I attended an 8 hour ATF sponsored training class on the AK-47 and SKS. This class consisted of both classroom and shooting range based training in Dublin, California. It was taught by ATF special agents on the AK-47 machine gun, the semiautomatic versions of that weapon and then the SKS rifle and some of the variants. The AK-47 and the SKS predominantly are chambered in 7.62x39mm caliber ammunition.
- h. 9/13/2004: I was part of a DOJ BOF ballistic testing exercise conducted in Grass Valley, CA. The substances used were ballistic gelatin and 2 car doors. The

purpose was to determine what certain rounds of ammunition would do upon impacting ballistic gelatin and automobiles; including fragmentation and penetration depth.

- i. 5/10/2005 & 5/11/2005: I attended and completed a 16 hour Armalite AR-15 rifle armorer's course in Folsom, CA. This class was taught by trainers from Armalite Corporation. This course instructed me how to disassemble an AR-15 style rifle, put it back together, identify problems with the weapon system, including malfunctions that may occur, how to clean them, and what ammunition is appropriate for use in AR-15 and AR-10 style rifles.
- j. 3/16/2006: I completed a 16 hour Colt 1911 pistol armorers school in Sunnyvale, CA. This course covered some of the different models that the .45 caliber 1911 comes in. We were instructed on how to identify problems with the weapon; make repairs; make modifications, if necessary; disassembling and reassembling the weapon.
- k. 5/11/2006: I completed a 24 hour Firearms/Rifle Instructor update class in Ione, CA. This was refresher course for my Range Master training.
- l. 7/27/2006: I co-taught a firearms identification class at the Sacramento District Attorney's Office. This class was attended by Deputy District Attorneys and District Attorney Investigators. The class covered firearms nomenclature, general firearms, rifles, pistols, shotguns, and assault weapons. We gave some general information on the weapons we had present in class. We also had sample weapons that we passed around the room for them to handle as well as ammunition. This was to help the attorneys understand what they might read about in the police reports that they saw.
- m. 8/4/2006: I conducted follow up training with the Sacramento District Attorney's Office staff. This class was at the California Highway Patrol Academy in West Sacramento. The Attorney's and District Attorney Investigators were able to handle and fire revolvers, semi automatic pistols, rifles, shotguns.
- n. 1/17/2007: I attended a 4 hour class on the AK-47 assault rifle and the MP-40 submachine gun. This was given by DOJ BOF SAS Ignatius Chinn. This

included classroom training and shooting with each type of firearm. The MP-40 was chambered for a 9mm Luger cartridge.

- o. 3/28/2007: I received training on the Tec 9 assault pistol and the SKS rifle. This class was given by DOJ BOF Special Agents Matt Badgley and Jeff Aleman in Newhall, California. The TEC-9 was a 9mm and the SKS rifle was 7.62x39mm caliber.
- p. 12/16/2008: I completed an 8 hour Armorer's class on Glock pistols. This class was held at the Galt Police Department. Through this class I came to better understand the Glock pistol and its variants. I was taught how to disassemble and repair the Glock. This course covered the many varieties of the Glock pistols sold currently.
- 8. During the course of my career and training I have become proficient in the use and disassembly of various revolvers, pistols, submachine guns, shotguns, and rifles. I have made or assisted in the arrest of at least thirty persons for violations involving illegal weapons possession. In the course of my employment I have participated in an excess of thirty search warrants which involved the illegal possession of firearms.

# **Prior Expert Witness Testimony**

- 9. I have qualified as an expert witness in the following court cases:
- a. On 02/15/2007, I qualified as an expert witness on Assault Weapons identification, Assault Weapons registration, and the DOJ Automated Firearms System. This was in Santa Clara County Superior Court in a preliminary hearing.
- b. On 04/12/2007, I qualified as an expert witness on Assault Weapons identification, Assault Weapons registration, and the DOJ Automated Firearms System in the Santa Clara County Superior Court in a preliminary hearing.
- c. On 06/09/2008, I qualified as an expert witness on Assault Weapons identification and Assault Weapons registration. This was in Placer County Superior Court during a preliminary hearing.
- d. On 05/04/2009, I qualified as an expert witness on Assault Weapons identification and Assault Weapons registration. This was in Placer County Superior Court during a jury trial.

27

28

- e. On 10/27/2010, I qualified as an expert witness on Assault Weapons identification and Assault Weapons registration. This was in Placer County Superior Court during a preliminary hearing.
- f. On 11/04/2010, I qualified as an expert witness on Assault Weapons identification. This was in San Mateo County Superior Court during a preliminary hearing.

#### Work on this Case

- In the course of my career, and in forming the opinions that I have and will 10. render in this case, I have read and consulted treatises that include Cartridges of the World, Rifles of the World, Gun Traders Guide, and various firearms-related websites, including those of firearms manufacturers like Winchester, Ruger, Heckler & Koch, and Glock, as well as the Sporting Arms and Ammunition Manufacturers Institute (SAAMI) website. SAAMI is an association of the nation's leading manufacturers of firearms, ammunition and components. It creates and publishes industry standards for safety, interchangeability, reliability and quality. Attached as Exhibit "G" to the State's Compendium of Evidence is a true and correct copy of excerpts from the SAAMI publication entitled Voluntary Industry Performance Standards for Pressure and Velocity of Centerfire Pistol and Revolver Ammunition for the Use of Commercial Manufacturers. This document was produced to the plaintiffs in this case before my deposition and I relied upon it to help determine whether the cartridges identified in paragraph 12 below are used principally in handguns. Each of the listed cartridges (except 10mm Auto which was not being manufactured when the Performance Standards were published) are identified as pistol and revolver ammunition by this respected manufacturer's trade group.
- 11. For background information on sales and how various calibers and cartridges of ammunition are marketed for sale, I also reviewed ammunition vendor websites including Cheaper Than Dirt, Cabela's, J&G Sales, and Midway USA. To expand my knowledge base, I continually review new and different ammunition and firearms because of the job that I hold at DOJ.

analyst to run a report for me from those databases that reflected how many handguns in each particular caliber were sold over the last five years. The report that was generated was used as a starting point to show which handgun calibers have been most common in terms of handgun sales over the past several years. My experience and expertise was then applied to the list to narrow the list of calibers down to those cartridges that are principally used in pistols and revolvers. The list of handgun ammunition calibers and cartridges that appears in the State's Response to Plaintiffs' Special Interrogatory No. 5 (and in paragraph 12 above) is primarily the result of my work and analysis.

14. I was careful to consider during my analysis any calibers and cartridges of ammunition that were clouded by "dual use" issues, meaning that the ammunition might be used just as often in handguns as rifles. For instance, .22 caliber ammunition was left off of this list at this time because, without further research and analysis, it was not possible in the time available to determine whether .22 caliber ammunition is used principally in handguns or in rifles. The same is true of certain other calibers.

# Rifles and Long Guns Chambered in Pistol Calibers Were Considered

- 15. As part of my research into ammunition that is used principally in handguns, I considered how many models of rifles, machine guns, submachine guns and other long guns that chamber the cartridges listed in paragraph 12 I could find. In some instances the non hanguns chambered in a "listed" cartridge are illegal for the average California citizen to possess. While there are legally registered assault weapons in California that chamber some of the listed cartridges the handguns that shoot the same cartridge are far more prevelant in my experience. A true and copy of a spreadsheet I prepared showing the results of my research is attached as **Exhibit "J"** to the State's Compendium of Evidence and was provided to the plaintiffs in this case prior to my deposition. Despite several attempts to refer to that document during my deposition, I was never questioned about it.
- 16. My research into long guns that fire the same calibers or cartridges as handguns revealed that very few, and in some cases no, long guns models were available that chambered and fired the handgun calibers I have identified. I have listed those

longuns readily apparent to me during the time available. This list is not all inclusive of every long gun ever made. There may be other long guns chambered in a listed cartridge that I am unaware of at this time. The following is a summary of my research into long guns that chamber the calibers or cartridges identified in paragraph 12, above:

- a. .45 Automatic Colt Pistol (ACP): I found the following long guns that chamber a .45 ACP cartridge; (1) the Marlin Camp Carbine, which is a self-loading carbine that was discontinued in 1999; (2) Auto Ordinance Thompson (aka Tommy Gun); (3) Hi-Point Firearms 995, the IMI UZI carbine, and the M3 "grease gun", a submachine gun. Of these long guns only the Marlin is not an assault weapon or submachine gun when in its factory configuration. There are nearly 400 variants of handguns chambered for the .45 ACP cartridge presently for sale in California.
- b. 9mm (Luger/Parabellum/9x19): I found the following long guns chambered for the 9mm Luger cartridge. These include: (1) Marlin (camp carbine) (2) Kel Tec (Sub-2000); (3) Ruger (PC-9 carbine); (4) Hi-Point 995; (5) Heckler & Koch MP5/HK94; (6) Heckler & Koch MP5; (7) UZI submachine gun and carbines; (8) the Colt 9mm Submachine Gun/carbine, the German MP-38/40 submachine gun. Of the above long guns only the Marlin and Ruger are still legal for sale in California in their factory configurations. The Kel Tec, Hi-Point, Heckler & Koch and UZI carbines are normally assault weapons in their factory configurations. The Heckler & Koch MP5 submachine gun, UZI submachine gun and MP38/40's are not generally in the hands of the California citizenry. There are over 200 9mm handgun variants currently for sale in California and countless others that have been sold over the past ten years.
- c. 10mm Automatic: I identified the following long guns that chamber 10mm rounds. These firearms are (or were) generally available only to law enforcement and military users because of their assault weapon or machine gun status: (1) Armalon PC carbine; (2) Auto Ordinance Thompson submachine gun; (3) D-Max (carbine); (4) Goncz (GS carbine); (5) Heckler & Koch MP5 submachine gun; (6) Olympic Arms K10;

(7) LeMag Custom M1 carbine.	There ar	e over a dozen	of 10	mm ha	andgun	variants	widely
available for purchase to civilian	users.						

- d. .40 Smith & Wesson: I identified five long guns that chamber this cartridge; (1) Beretta CX4 Storm (AW); (2) Kel Tec Sub 2000; (3) Ruger PC-40 Carbine; (4) Hi-Point 995; and (5) Olympic Arms K40-GL. Only the Ruger carbine is legal for sale in California when in its factory configuration. There are more than 150 handguns for sale in California that chamber this cartridge.
- e. .357 Magnum/.38 Special: Although there are over two hundred individual handguns currently for sale in California that chamber these cartridges, which have historically been known as pistol cartridges, there are fewer than ten rifles available that chamber both the .357 Magnum and .38 Special cartridge, including the Winchester Model 1892, the Marlin Model 1894 CSS, and the Harrington and Richardson Handi-Rifle, the Puma Model 92; the Henry Big Boy; and the Marlin Model 1894CP lever action. Some manufacturers even describe these rifles as chambering "pistol calibers." (See www.winchesterguns.com/products/catalog/detail.asp?mid=534162&family=022C.)
  - f. .357 SIG: I was unable to find any long guns that chamber this cartridge.
- g. .44 Magnum: I have identified the following long guns that chamber a .44 Magnum cartridge: (1) Henry Repeating Arms Big Boy; (2) Marlin 1894; (3) the Winchester 1892 short; (4) the Harrington and Richardson Handi Rifle; and (5) the Ruger .44 magnum carbine.
- h. .380 Automatic Colt Pistol: I was unable to find any California legal long guns that chamber this cartridge.
- i. .454 Casull: I identified only one rifle that chambers this cartridge, the
   Puma Lever Action.
- j. .25 Automatic Colt Pistol: I was unable to find any long guns that chamber this cartridge.
- k. .32 Automatic Colt Pistol: I was unable to find any California legal long guns that chamber this cartridge.

# The use of cartridges like the 9mm Luger and .45 Automatic Colt Pistol in submachine guns reinforces their classification as "Handgun Ammunition."

17. I considered the fact that submachine guns, like the MP5 and UZI, chamber and fire a 9mm parabellum cartridge to be only marginally relevant for two reasons. First, a submachine gun is defined as "an automatic or selective fire firearm chambered for a pistol cartridge." Thus, the definition of the firearm itself confirms that the rounds fired from submachine guns are handgun cartridges. And second, submachine guns like the Uzi or the MP5 that chamber pistol cartridges are generally not available for sale or use in California, except to law enforcement agencies and the military where they are also tightly controlled. Because of these two facts, I concluded that the use of pistol cartridges in submachine guns has no material bearing on the question of whether a cartridge like the 9mm parabellum is used principally in a handgun.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed in Sacramento, California this 31st day of December 2010.

Blake Graham

Blake Traha

#### DECLARATION OF SERVICE BY OVERNIGHT COURIER

Case Name:

Sheriff Clay Parker, et al. v. The State of California

No.:

10CECG02116

#### I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter; my business address is: 1300 I Street, Suite 125, P.O. Box 944255, Sacramento, CA 94244-2550.

On January 3, 2011, I served the attached

DEFENDANTS' MEMORANDUM OF POINTS AND AUTHORITIES IN OPPOSITION TO MOTION FOR SUMMARY JUDGMENT, OR IN THE ALTERNATIVE SUMMARY ADJUDICATION/TRIAL BRIEF

DECLARATION OF KIMBERLY J. GRAHAM IN SUPPORT OF DEFENDANTS' OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT OR IN THE ALTERNATIVE SUMMARY ADJUDICATION/TRIAL BRIEF

DECLARATION OF PETER A. KRAUSE IN SUPPORT OF DEFENDANTS' OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT OR IN THE ALTERNATIVE SUMMARY ADJUDICATION/TRIAL BRIEF

DECLARATION OF BLAKE GRAHAM IN SUPPORT OF THE STATE'S OPPOSITION TO MOTION FOR SUMMARY JUDGMENT OR, IN THE ALTERNATIVE, SUMMARY ADJUDICATION/TRIAL BRIEF

DEFENDANTS' REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT OR, IN THE ALTERNATIVE, SUMMARY ADJUDICATION/TRIAL BRIEF; DECLARATION OF PETER A. KRAUSE IN SUPPORT THEREOF

DEFENDANTS' EVIDENCE IN SUPPORT OF OPPOSITION TO PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT, OR IN THE ALTERNATIVE SUMMARY ADJUDICATION/TRIAL BRIEF

- (1) DEFENDANTS' RESPONSE TO SEPARATE STATEMENT OF UNDISPUTED FACTS IN SUPPORT OF PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT OR IN THE ALTERNATIVE SUMMARY ADJUDICATION / TRIAL BRIEF; and (2) SUPPLEMENTAL STATEMENT OF UNDISPUTED MATERIAL FACTS IN SUPPORT OF OPPOSITION TO PLAINTIFFS' MOTION
- (1) DEFENDANTS' OBJECTIONS TO EVIDENCE AND DECLARATIONS SUBMITTED IN SUPPORT OF PLAINTIFFS' MOTION FOR SUMMARY JUDGMENT OR, IN THE ALTERNATIVE, SUMMARY ADJUDICATION/TRIAL BRIEF; (2) [PROPOSED] ORDER THEREON
- (1) DEFENDANTS' OBJECTIONS TO PLAINTIFFS' REQUEST FOR JUDICIAL NOTICE IN SUPPORT OF MOTION FOR SUMMARY JUDGMENT OR, IN THE ALTERNATIVE, SUMMARY ADJUDICATION/TRIAL BRIEF; (2) [PROPOSED] ORDER THEREON

by placing a true copy thereof enclosed in a sealed envelope with the Golden State Overnight courier service, addressed as follows:

C.D. Michel Clint B. Monfort Sean A. Brady Michel & Associates, P.C. 180 E. Ocean Boulevard, Suite 200 Long Beach, CA 90802

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that I declare under penalty of perjury under the laws of this declaration was executed on January 3, 2011, at Sacramento, California

Brenda Apodaca

Declarant