Case 2:09-cv-01185-KJM-CKD Document 57 Filed 10/25/13 Page 1 of 3 KAMALA D. HARRIS 1 Attorney General of California TAMAR PACHTER, State Bar No. 146083 2 Supervising Deputy Attorney General ANTHONY R. HAKL, State Bar No. 197335 3 Deputy Attorney General 1300 I Street, Suite 125 4 P.O. Box 944255 Sacramento, CA 94244-2550 5 Telephone: (916) 322-9041 Fax: (916) 324-8835 6 E-mail: Anthony.Hakl@doj.ca.gov Attorneys for Defendant Stephen Lindley 7 9 10 SUPERIOR COURT OF THE STATE OF CALIFORNIA EASTERN DISTRICT OF CALIFORNIA 11 12 13 Case No. 2:09-CV-01185-KJM-CKD IVAN PEÑA, ROY VARGAS, DOÑA CROSTON, BRETT THOMAS, SECOND 14 AMENDMENT FOUNDATION, INC. and DECLARATION OF ANTHONY R. THE CALGUNS FOUNDATION, INC., HAKL IN SUPPORT OF DEFENDANT 15 STEPHEN LINDLEY'S MOTION FOR SUMMARY JUDGMENT, OR IN THE Plaintiffs. 16 **ALTERNATIVE SUMMARY** ADJUDICATION v. 17 November 22, 2013 Date: 18 10:00 a.m. STEPHEN LINDLEY, Time: Courtroom 3, 15th floor Dept.: 19 Defendant. Judge: The Honorable Kimberly J. Mueller 20 None at this time Trial Date: Action Filed: May 1, 2009 21 22 23 24 25 26 27 28

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DECLARATION OF ANTHONY R. HAKL

- I am a Deputy Attorney General for the Office of the Attorney General in the 1. California Department of Justice located in Sacramento, California. I am the attorney of record for Stephen Lindley ("Defendant"). I make this declaration in support of Defendant's Motion for Summary Judgment. I have personal knowledge of the facts stated in this declaration, and if called as a witness, I could and would competently testify to them.
- Attached hereto as Exhibit A is a true and correct copy of Plaintiff Brett Thomas's 2. Response-to-Defendant-Stephen-Lindley's-First Set-of-Requests-for-Admissions.
- Attached hereto as Exhibit B is a true and correct copy of Plaintiff Brett Thomas's 3. Response to Defendant Stephen Lindley's First Set of Interrogatories.
- Attached hereto as Exhibit C is a true and correct copy of Plaintiff Ivan Peña's 4. Response to Defendant Stephen Lindley's First Set of Requests for Admissions.
- 5. Attached hereto as Exhibit D is a true and correct copy of Plaintiff Ivan Peña's Response to Defendant Stephen Lindley's First Set of Interrogatories.
- 6. Attached hereto as Exhibit E is a true and correct copy of Plaintiff Roy Vargas's Response to Defendant Stephen Lindley's First Set of Requests for Admissions.
- 7. Attached hereto as Exhibit F is a true and correct copy of Plaintiff Roy Vargas's Response to Defendant Stephen Lindley's First Set of Interrogatories.
- Attached hereto as Exhibit G is a true and correct copy of Plaintiff Doña Croston's Response to Defendant Stephen Lindley's First Set of Requests for Admissions.
- 9. Attached hereto as Exhibit H is a true and correct copy of Plaintiff Doña Croston's Response to Defendant Stephen Lindley's First Set of Interrogatories.
- 10. Attached hereto as Exhibit I is a true and correct copy of Defendant Stephen Lindley's Response To Interrogatories, Set One.
- Attached hereto as Exhibit J is a true and correct copy of Defendant Stephen 11. Lindley's Response To Requests For Admission, Set One.
- Attached hereto as Exhibit K is a true and correct copy of Defendant Stephen 12. Lindley's Response to Interrogatories, Set Two.

I declare under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct of my own personal knowledge, and that this declaration is executed in Sacramento, California, this 25th day of October, 2013. Declaration of Anthony R. Hakl in Support of Defendant Stephen Lindley's

Motion for Summary Judgment, or in the Alternative Summary Adjudication (2:09-CV-01185-KJM-CKD)

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EXHIBIT A

Case 2:09-cv-01185-KJM-CKD Document 57-1 Filed 10/25/13 Page 2 of 4

3		
1	Alan Gura (Calif. Bar No. 178,221)	
2	Gura & Possessky, PLCC 101 N. Columbus St. Suite 405	
3	Alexandria VA, 22314	
4	703.835.9085/Fax 703.997.7665	
5	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986)	·
3	Law Office of Donald Kilmer, A.P.C.	
6	1645 Willow Street, Suite 150	
7	San Jose, CA 95125 408.364.84889/Fax 408.264.8487	
8	Jason A. Davis (Calif. Bar No. 224250)	
9	Davis & Associates	
10	27201 Puerta Real, Suite 300 Mission Viejo, CA 92691	
11	Tel 949.436.GUNS/Fax 949.288.6894	
12	IN THE UNITED ST	ATES DISTRICT COURT
13	FOR THE EASTERN I	DISTRICT OF CALIFORNIA
14		
15	·	
16	IVAN PENA, ROY VARGAS, DONA	Case No: 2:09-CV-01185-KJM-CMK
	CROSTON, BRETT THOMAS, SECOND AMENDMENT FOUNDATION, INC.	PLAINTIFF BRETT THOMAS'S
17	AND THE CALGUNS FOUNDATION,	RESPONSE TO DEFENDANT
18	INC.	STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSIONS
19	Plaintiffs,	REQUESTS FOR ADMISSIONS
20	VS.	
21	STEPHEN LINDLEY,	
22	Defendant.	
23		
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TO THE DEFENDANT HEREIN AND TO ITS ATTORNEYS OF RECORD:

PLAINTIFFS, by their attorney of record, hereby respond to the Request for Admissions served on them, by Defendant, as follows:

RESPONSES TO REQUESTS FOR ADMISSION

REQUEST FOR ADMISSION NO. 1

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Admit that you own at least one operable handgun that is suitable for self-defense.

RESPONSE TO REQUEST FOR ADMISSION NO. 1

OBJECTION: Plaintiff objects on grounds that the request does not seek information that is either relevant or calculated to lead to the discovery of relevant evidence.

RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: I admit that I have at least one fully functional handgun, as defined in Penal Code section 16640, which may be suitable for self-defense purposes in certain circumstances, but may not be suitable for self-defense purposes in other circumstances.

REQUEST FOR ADMISSION NO. 2

Admit that you are able to purchase an operable handgun that is suitable for self-defense.

RESPONSE TO REQUEST FOR ADMISSION NO. 2

OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive as to the meaning of "suitable for self-defense." Moreover, Plaintiff would have to speculate as to the meaning of the phrase "suitable for self-defense." Firearms are tools. While one firearm may be suitable for self-defense in one scenario, it may not be suitable for self-defense in another scenario. As such, the term "suitable for self-defense" is too vague and ambiguous to properly respond to.

RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: Admit.

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REQUEST FOR ADMISSION NO. 3

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Admit that you are able to obtain a High Standard Buntline style revolver through a private-party transfer under California Penal code section 32210(a).

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RESPONSE TO REQUEST FOR ADMISSION NO. 3

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OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive as to the meaning of "able to obtain." Does this term, in the context of the request, mean physically able, financially able, legally able, or logistically able? Moreover, Plaintiff would have to speculate as to the meaning of the phrase "able to obtain."

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OBJECTION: This request seeks an admission pertaining to statements of law. Such matters are outside the scope of information permitted by the applicable court rules governing Requests for Admissions.

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RESPONSE: Subject to the foregoing objections without waiving same, Denied. Plaintiff is unaware of any private parties with a California Driver License, California Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm is not on

the list of handguns approved for a California licensed dealer direct sale in California.

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Date: December <u>//</u>, 2012

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WITH RESPECT TO OBJECTIONS ONLY Respectfully submitted,

Davis & Associates

ason A. Davis

ason@CalGunLawyers.com

Attorneys for plaintiffs

EXHIBIT B

Case 2:09-cv-01185-KJM-CKD Document 57-2 Filed 10/25/13 Page 2 of 8

5		
1	Alan Gura (Calif. Bar No. 178,221)	
2	Gura & Possessky, PLCC	
3	101 N. Columbus St. Suite 405	
	Alexandria VA, 22314 703.835.9085/Fax 703.997.7665	
4		
5	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C.	
6	1645 Willow Street, Suite 150	
-7	San Jose, CA 95125	
8	408.364.84889/Fax 408.264.8487	•
	Jason A. Davis (Calif. Bar No. 224250)	
9	Davis & Associates	
10	27201 Puerta Real, Suite 300 Mission Viejo, CA 92691	
11	Tel 949.436.GUNS/Fax 949.288.6894	
12	IN THE UNITED ST	ATES DISTRICT COURT
13	FOR THE EASTERN D	DISTRICT OF CALIFORNIA
14		
15		
16	IVAN PENA, ROY VARGAS, DONA	Case No: 2:09-CV-01185-KJM-CMK
	CROSTON, BRETT THOMAS, SECOND AMENDMENT FOUNDATION, INC.	PLAINTIFF BRETT THOMAS'S
17	AND THE CALGUNS FOUNDATION,	RESPONSE TO DEFENDANT
18	INC.	STEPHEN LINDLEY'S FIRST SET OF
19	Plaintiffs,	INTERROGATORIES
20	vs.	
21	STEPHEN LINDLEY,	
22	Defendant.	
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Case 2:09-cv-01185-KJM-CKD Document 57-2 Filed 10/25/13 Page 3 of 8

PROPOUNDING PARTY:	Defendant Stephen Lindley	
RESPONDING PARTY:	Plaintiff Brett Thomas	
SET NUMBER:	One (1)	
RESP	PONSES TO INTERROGATORIES	
INTERROGATORY NO. 1		
State the name, relationsl	nip to you, business address and telephone number, employer	
and title or position of the "willing	ng seller" identified in Paragraph 50 of the amended complaint	
filed May 11, 2009.		
RESPONSE TO INTERROGA	ATORY NO. 1	
RESPONSE:		
Name: PRK Arms		
Relationship: California l	Licensed Dealer	
Business Address: 5530 East Lamona Ave., Suite 103, Fresno CA 93727		
Telephone Number: 559-283-8666		
Employer: PRK Arms		
Title or Position: California Licensed Dealer		
INTERROGATORY NO. 2		
State the caliber, barrel length, serial number, condition (i.e. new or used), current owner		
and location (i.e. address) of the firearm identified in Paragraph 50.		
RESPONSE TO INTERROGATORY NO. 2		
OBJECTION: This reque	est is so vague or ambiguous as to be burdensome or oppressive	
as to the meaning of "Paragraph 50," which was not defined in the request. Moreover, Plaintiff		
would have to speculate as to the meaning of the term "Paragraph 45" in order to properly		
respond to this request.		
RESPONSE: Without wa	iving the aforementioned objections, Plaintiff responds:	
Caliber: .22LR		
	Page 2	
	RESPONDING PARTY: SET NUMBER: RESP INTERROGATORY NO. 1 State the name, relationsh and title or position of the "willing filed May 11, 2009. RESPONSE TO INTERROGATORY NO. 2 RESPONSE: Name: PRK Arms Relationship: California Interpolation of the "willing filed May 11, 2009. RESPONSE: Name: PRK Arms Relationship: California Interpolation of the Number: 559- Employer: PRK Arms Title or Position: California Interpolation of the Interpolation of "Paragraph would have to speculate as to the respond to this request. RESPONSE: Without was	

Case 2:09-cv-01185-KJM-CKD Document 57-2 Filed 10/25/13 Page 4 of 8

1	Barrel Length: 9.5"
2	Serial Number: 2244513
3	Condition (New or Used): Used
4	Current Owner: Robert Dawson
5	Address: 415 Dyches Drive, Savannah, GA 31406
6	
7	INTERROGATORY NO. 3
8	Do you contend that the "willing seller" identified in Paragraph 50 is able to legally sell
9	you the firearm identified in that paragraph? If so, state each fact and identify each document
10	which you believe supports your contention.
11	RESPONSE TO INTERROGATORY NO. 3
12	OBJECTION: Contention interrogatories asking for each and every fact, or application of
13	law to fact, that supports particular allegations in an opposing pleading may be held overly broad
14	and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (DKS 1998) 179 FRD 316,
15	321 - providing "every fact" could require "laborious, time-consuming analysis, search and
16	description of incidental, secondary, and perhaps irrelevant and trivial details."]
17	RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: No.
18	Plaintiff is not prohibited from acquiring and possessing firearms and the "willing seller" is, to
19	the best of Plaintiff's knowledge, lawfully entitled to transfer firearms in accordance with United
20	States firearm laws, including but not limited to 18 U.S.C. §921 et seq, and the regulations issued
21	thereto, California firearm laws, including Part 6 of the California Penal Code, and the
22	regulations issued thereto, and local laws. However, the firearm at issue became illegal for the
23	"willing seller" to sell or transfer to Plaintiff, as a California resident, upon the passage and
24	implementation of the Unsafe Handgun Act.
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26	INTERROGATORY NO. 4
27	Do you contend that but for the firearm identified in Paragraph 50 not being listed on
28	California's Roster of Handguns Certified for Sale you are otherwise eligible under all applicable

state and federal laws to purchase and possess that firearm? If so, state each fact and identify each document which you believe supports your contention.

RESPONSE TO INTERROGATORY NO. 4

OBJECTION: Contention interrogatories asking for each and every fact, or application of law to fact, that supports particular allegations in an opposing pleading may be held overly broad and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316, 321 – providing "every-fact" could require "laborious, time-consuming analysis, search and description of incidental, secondary, and perhaps irrelevant and trivial details."]

RESPONSE: Yes. I am a law abiding, responsible citizen and not prohibited from purchasing or possessing firearms under any state, federal or local law of which I am aware, but for the provisions challenged in this litigation.

INTERROGATORY NO. 5

State each fact and identify each document which you believe supports your contention in Paragraph 50 that the firearm referenced there "is not, cannot, and will not be placed on the California Handgun Roster by Defendant."

RESPONSE TO INTERROGATORY NO. 5

RESPONSE: The firearm is not and has never been identified on the California Roster of Handguns Certified for Sale in California. A review of the California Roster of Handguns Certified for Sale in California available at http://certguns.doj.ca.gov/evidences the lack of the particular firearm from the list. The California Department of Justice publishes a list of firearms that have been removed from California's Handgun Roster. The Department of Justice identifies this list as the "Department of Justice Bureau of Firearms De-Certified Handgun Models." This list is available at: http://oag.ca.gov/sites/oag.ca.gov/files/pdfs/firearms/removed.pdf. The California Department of Justice describes this as a list of "Handgun models whose certification has expired or otherwise removed from the Roster. These models may no longer be sold, offered for sale, or manufactured in California." The High Standard Buntline style revolver does not appear on that list. Thus, if the High Standard Buntline style revolver is not on the California

Roster of Handguns Certified for Sale in California, and it is not identified in the Department of 1 Justice Bureau of Firearms De-Certified Handgun Models, it has never been listed. 2 Moreover, the firearm was manufactured domestically, and neither the manufacturer of 3 the firearm, nor a legal successor in interest, exists. As such, the firearm cannot be submitted for 4 testing under the Unsafe Handgun Act and the regulations issued thereto which limits the 5 submission of handguns for testing to a manufacturer of domestically produced handguns or, if 6 one exists, a legal successor in interest or another person with the consent of the manufacturer; 7 and/or a federally licensed importer of foreign manufactured handguns. 8 Plaintiff identifies the following documents: Each "Department of Justice Bureau of 9 Firearms De-Certification Handgun Models" list published since December 31, 2005; each 10 Department of Justice Bureau of Firearms Newly Added Handgun Models list; each California 11 Department of Justice Roster of Handguns Certified for Sale published. 12 13 14 **INTERROGATORY NO. 6** If in response to Request for Admission 1 you deny that you own at least one operable 15 16 handgun that is suitable for self-defense, state each fact on which you base your denial. 17 RESPONSE TO INTERROGATORY NO. 6 18 Not Applicable. 19 20 INTERROGATORY NO. 7 If in response to Request for Admission 2 you deny that you are able to purchase an 21 operable handgun that is suitable for self-defense, state each fact on which you base your denial. 22 23 RESPONSE TO INTERROGATORY NO. 7 24 Not Applicable. 25

INTERROGATORY NO. 8

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If in response to Request for Admission 3 you deny that you are able to obtain a High Standard Buntline style revolver through a private-party transfer under California Penal Code

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section 32110(a), state each fact on which you base your denial.

RESPONSE TO INTERROGATORY NO. 8

RESPONSE: Plaintiff is unaware of any private parties with a California Driver License, California Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to-sell-said firearm in an unaltered factory manufactured condition. And, such a firearm is not on the list of handguns approved for a California licensed dealer direct sale in California.

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10 Date: December / 2012

WITH RESPECT TO OBJECTIONS ONLY

Davis & Associates

Jason A. Davis

/Jason@CalGunLawyers.com

Attorneys for plaintiffs

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1	VERIFICATION		
2	BRETT THOMAS declares:		
3	1. I am a plaintiff in the above-captioned action;		
4	2. I have read the foregoing "PLAINTIFF BRETT THOMAS'S RESPONSE TO		
5	DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES" ("The		
6	Response") and know its contents. I am informed and believed that the matters set forth		
7	in the Response are true and accurate, and on that ground I allege, to the best of my		
8	knowledge and information, that the matters therein stated are true and accurate.		
9	I declare under penalty of perjury under the laws of the United States of America that the		
10	foregoing is true and correct and that this Verification was executed on December 11, 2012, at		
l 1	SAN CARLOS, California.		
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13	The Part of the Pa		
14	BRETT THOMAS		
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2	Alan Gura (Calif. Bar No. 178,221) Gura & Possessky, PLCC 101 N. Columbus St. Suite 405 Alexandria VA, 22314 703.835.9085/Fax 703.997.7665		
5	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C.		
6	1645 Willow Street, Suite 150		
7	San Jose, CA 95125 408.364.84889/Fax 408.264.8487		
8			
9	Jason A. Davis (Calif. Bar No. 224250) Davis & Associates		
10	27201 Puerta Real, Suite 300		
11	Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894		
12	IN THE UNITED STATES DISTRICT COURT		
13	FOR THE EASTERN I	DISTRICT OF CALIFORNIA	
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15		The second secon	
16	IVAN PENA, ROY VARGAS, DONA CROSTON, BRETT THOMAS, SECOND	Case No: 2:09-CV-01185-KJM-CMK	
17	AMENDMENT FOUNDATION, INC.	PLAINTIFF IVAN PENA'S RESPONSE	
18	AND THE CALGUNS FOUNDATION, INC.	TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF	
19	Plaintiffs,	REQUESTS FOR ADMISSIONS	
20	VS.	,	
21	STEPHEN LINDLEY,		
22	Defendant.		
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Page 1

IVAN PENA'S RESPONSE TO DEFENDANT'S FIRST SET OF REQUESTS FOR ADMISSIONS

(2:09-cv-01185-kjm-ckd)

TO THE DEFENDANT HEREIN AND TO ITS ATTORNEYS OF RECORD: 1 PLAINTIFFS, by their attorney of record, hereby respond to the Request for Admissions 2 served on them, by Defendant, as follows: 3 4 RESPONSES TO REQUESTS FOR ADMISSION 5 REOUEST FOR ADMISSION NO. 1 6 Admit that you own at least one operable handgun that is suitable for self-defense. 7 RESPONSE TO REQUEST FOR ADMISSION NO. 1 8 OBJECTION: Plaintiff objects on grounds that the request does not seek information 9 that is either relevant or calculated to lead to the discovery of relevant evidence. 10 RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: I admit 11 that I have at least one fully functional handgun, as defined in Penal Code section 16640, which 12 may be suitable for self-defense purposes in certain circumstances, but may not be suitable for 13 self-defense purposes in other circumstances. 14 15 16 REQUEST FOR ADMISSION NO. 2 Admit that you are able to purchase an operable handgun that is suitable for self-defense. 17 RESPONSE TO REQUEST FOR ADMISSION NO. 2 18 OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive 19 as to the meaning of "suitable for self-defense." Moreover, Plaintiff would have to speculate as 20 to the meaning of the phrase "suitable for self-defense." Firearms are tools. While one firearm 21 may be suitable for self-defense in one scenario, it may not be suitable for self-defense in another 22 scenario. As such, the term "suitable for self-defense" is too vague and ambiguous to properly 23 24 respond to. RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: 25 26 Admit. 27 ///

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REQUEST FOR ADMISSION NO. 3

Admit that you are able to obtain a Para USA (Para Ordinance) P1345SR/Stainless Steel .45 ACP 4.25" through a private-party transfer under California Penal Code section 32210(a).

RESPONSE TO REQUEST FOR ADMISSION NO. 3

OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive as to the meaning of "able to obtain." Does this term, in the context of the request, mean physically able, financially able, legally able, or logistically able? Moreover, Plaintiff would have to speculate as to the meaning of the phrase "able to obtain."

OBJECTION: This request seeks an admission pertaining to statements of law. Such matters are outside the scope of information permitted by the applicable court rules governing Requests for Admissions.

RESPONSE: Subject to the foregoing objections without waiving same, Denied.

Plaintiff is unaware of any private parties with a California Driver License, California

Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm is not on the list of handguns approved for a California licensed dealer direct sale in California.

Date: December <u>//,</u> 2012

WITH RESPECT TO OBJECTIONS ONLY Respectfully submitted,

Davis & Associates

Jason A. Davis

Jason@CalGunLawyers.com

Attorneys for plaintiffs

1 2 3 4	Alan Gura (Calif. Bar No. 178,221) Gura & Possessky, PLCC 101 N. Columbus St. Suite 405 Alexandria VA, 22314 703.835.9085/Fax 703.997.7665	
5 6 7 8 9	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150 San Jose, CA 95125 408.364.84889/Fax 408.264.8487 Jason A. Davis (Calif. Bar No. 224250) Davis & Associates 27201 Puerta Real, Suite 300	
11	Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894	•
12	IN THE UNITED ST	ATES DISTRICT COURT
13	FOR THE EASTERN D	DISTRICT OF CALIFORNIA
14		
15		
16	IVAN PENA, ROY VARGAS, DONA CROSTON, BRETT THOMAS, SECOND	Case No: 2:09-CV-01185-KJM-CMK
17 18	AMENDMENT FOUNDATION, INC. AND THE CALGUNS FOUNDATION, INC.	PLAINTIFF IVAN PENA'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES
19	Plaintiffs,	INTERROGATORIES
20	vs.	
21	STEPHEN LINDLEY,	
22	Defendant.	
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1	PROPOUNDING PARTY:	Defendant Stephen Lindley	
`2	RESPONDING PARTY:	Plaintiff Ivan Pena	
3	SET NUMBER:	One (1)	
4		•	
5	RESPO	ONSES TO INTERROGATORIES	
6	INTERROGATORY NO. 1		
7	State the name, relationsh	ip to you, business address and telephone number, employer	
8	and title or position of the "willin	g seller" identified in Paragraph 37 of the amended complaint	
9	filed May 11, 2009.		
10	RESPONSE TO INTERROGA	TORY NO. 1	
11	RESPONSE:		
12	Name: PRK Arms		
13	Relationship: California L	icensed Dealer	
14	Business Address: 5530 East Lamona Ave., Suite 103, Fresno CA 93727		
15	Telephone Number: 559-283-8666		
16	Employer: PRK Arms		
17	Title or Position: California Licensed Dealer		
18			
19	INTERROGATORY NO. 2		
20	State the caliber, barrel length, serial number, condition (i.e. new or used), current owner		
21	and location (i.e. address) of the firearm identified in Paragraph 37.		
22	RESPONSE TO INTERROGATORY NO. 2		
23	OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive		
24	as to the meaning of "Paragraph 37," which was not defined in the request. Moreover, Plaintiff		
25	would have to speculate as to the meaning of the term "Paragraph 37" in order to properly		
26	respond to this request.		
27	RESPONSE: Without wai	ving the aforementioned objections, Plaintiff responds:	
28	Caliber: .45		
		Page 2	
	IVAN PENA'	S RESPONSE TO DEFENDANT'S FIRST SET OF INTERROGATORIES	

1 Barrel Length: 4.25" 2 Serial Number: OG2283 3 Condition (New or Used): Used. 4 Current Owner: Grev Peterson 5 Address: 17802 38th Pl. W. Lynnwood, WA 98037 6 7 INTERROGATORY NO. 3 8 Do you contend that the "willing seller" identified in Paragraph 37 is able to legally sell 9 you the firearm identified in that paragraph? If so, state each fact and identify each document 10 which you believe supports your contention. 11 RESPONSE TO INTERROGATORY NO. 3 12 OBJECTION: Contention interrogatories asking for each and every fact, or application of law to fact, that supports particular allegations in an opposing pleading may be held overly 13 broad and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 14 15 316, 321 - providing "every fact" could require "laborious, time-consuming analysis, search and description of incidental, secondary, and perhaps irrelevant and trivial details."] 16 17 RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: 18 No. Plaintiff is not prohibited from acquiring and possessing firearms, and the "willing seller" 19 is, to the best of Plaintiff's knowledge, lawfully entitled to transfer firearms in accordance with 20 United States firearm laws, including but not limited to 18 U.S.C. §921 et seq, and the 21 regulations issued thereto, California firearm laws, including Part 6 of the California Penal Code, 22 and the regulations issued thereto, and local laws. However, the firearm at issue became illegal 23 for the "willing seller" to sell or transfer to Plaintiff, as a California resident, upon the passage 24 and implementation of the Unsafe Handgun Act. 25 26 INTERROGATORY NO. 4 27 Do you contend that but for the firearm identified in Paragraph 37 not being listed on

Page 3

California's Roster of Handguns Certified for Sale you are otherwise eligible under all applicable

1 2 state and federal laws to purchase and possess that firearm? If so, state each fact and identify each document which you believe supports your contention.

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RESPONSE TO INTERROGATORY NO. 4

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OBJECTION: Contention interrogatories asking for each and every fact, or application of law to fact, that supports particular allegations in an opposing pleading may be held overly broad and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316, 321 - providing "every fact" could require "laborious, time-consuming analysis, search and description of incidental, secondary, and perhaps irrelevant and trivial details."]

RESPONSE: Yes. I am a law abiding, responsible citizen and not prohibited from purchasing or possessing firearms under any state, federal or local law of which I am aware, but for the provisions challenged in this litigation.

INTERROGATORY NO. 5

State each fact and identify each document which you believe supports your contention in Paragraph 38 of the amended complaint that the firearm referenced there "was listed on California's Handgun Roster until December 31, 2005, when it was discontinued and its listing not renewed."

RESPONSE TO INTERROGATORY NO. 5

RESPONSE: The California Department of Justice publishes a list of firearms that have been removed from California's Handgun Roster. The Department of Justice identifies this list as the "Department of Justice Bureau of Firearms De-Certified Handgun Models." This list is available at: http://oag.ca.gov/sites/oag.ca.gov/files/pdfs/firearms/removed.pdf. The California Department of Justice describes this as a list of "Handgun models whose certification has expired or otherwise removed from the Roster. These models may no longer be sold, offered for sale, or manufactured in California." On Page 15 of 24 of the list, as published November 15, 2012, it identifies the following as a firearm that was listed but is now de-certified:

Para USA (Para Ordnance) P1345SR / Stainless Steel .45 ACP Pistol 4.25" 12/31/2005."

Moreover, other documentation that supports this contention includes each "Department

Page 4

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1	of Justice Bureau of Firearms De-Certification Handgun Models" list published since December
2	31, 2005; each Department of Justice Bureau of Firearms Newly Added Handgun Models list;
3	each California Department of Justice Roster of Handguns Certified For Sale published; as well
4	as the Para USA (Para Ordnance) P1345SR / Stainless Steel .45 ACP Pistol 4.25" application
5	materials submitted to the Department of Justice on behalf of Para Ordnance.
6	·
7	INTERROGATORY NO. 6
8	If in response to Request for Admission 1 you deny that you own at least one operable
9	handgun that is suitable for self-defense, state each fact on which you base your denial.
10	RESPONSE TO INTERROGATORY NO. 6
11	Not Applicable.
12	
13	INTERROGATORY NO. 7
14	If in response to Request for Admission 2 you deny that you are able to purchase an
15	operable handgun that is suitable for self-defense, state each fact on which you base your denial.
16	RESPONSE TO INTERROGATORY NO. 7
17	Not Applicable.
18	
19	INTERROGATORY NO. 8
20	If in response to Request for Admission 3 you deny that you are able to obtain a Para
21	USA (Para Ordnance) P1345SR/Stainless Steel .45 ACP 4.25" through a private-party transfer
22	under California Penal Code section 32110(a), state each fact on which you base your denial.
23	RESPONSE TO INTERROGATORY NO. 8
24	RESPONSE: Plaintiff is unaware of any private parties with a California Driver License,
25	California Identification Card or Military identification card who possess such a firearm in an
26	unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a
27	California Driver License, California Identification Card or Military identification card who are

willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm

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is not on the list of handguns approved for a California licensed dealer direct sale in California. Date: December <u>4</u>, 2012 WITH RESPECT TO OBJECTIONS ONLY Davis & Associates ason A. Davis Jason@CalGunLawyers.com Attorneys for plaintiffs

VERIFICATION 1 2 IVAN PENA declares: I am a plaintiff in the above-captioned action; 3 1. I have read the foregoing "PLAINTIFF IVAN PENA'S RESPONSE TO DEFENDANT 4 2. STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES" ("The Response") and 5 know its contents. I am informed and believed that the matters set forth in the Response 6 are true and accurate, and on that ground I allege, to the best of my knowledge and 7 information, that the matters therein stated are true and accurate. 8 I declare under penalty of perjury under the laws of the United States of America that the 9 foregoing is true and correct and that this Verification was executed on December //, 2012, at 10 11 Mai r Frille, California. Jun Kladin 12 13 IVAN PENA 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 Page 7 IVAN PENA'S RESPONSE TO DEFENDANT'S FIRST SET OF INTERROGATORIES

(2:09-cv-01185-kjm-ckd)

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1	Alan Gura (Calif. Bar No. 178,221)	
2	Gura & Possessky, PLCC 101 N. Columbus St. Suite 405	
3	Alexandria VA, 22314	
4	703.835.9085/Fax 703.997.7665	
5	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986)	
6	Law Office of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150	
7	San Jose, CA 95125 408.364.84889/Fax 408.264.8487	
8		
9	Jason A. Davis (Calif. Bar No. 224250) Davis & Associates	
10	27201 Puerta Real, Suite 300	
11	Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894	
12	IN THE UNITED ST	CATES DISTRICT COURT
13	FOR THE EASTERN I	DISTRICT OF CALIFORNIA
14		
15		,
16	IVAN PENA, ROY VARGAS, DONA CROSTON, BRETT THOMAS, SECOND	Case No: 2:09-CV-01185-KJM-CMK
17	AMENDMENT FOUNDATION, INC.	PLAINTIFF ROY VARGAS'S RESPONSE TO DEFENDANT
18	AND THE CALGUNS FOUNDATION, INC.	STEPHEN LINDLEY'S FIRST SET OF
19	Plaintiffs,	REQUESTS FOR ADMISSIONS
20	VS.	
21	STEPHEN LINDLEY,	
22	Defendant.	
23		
24		·
25	,	
26		
27		
28		

TO THE DEFENDANT HEREIN AND TO ITS ATTORNEYS OF RECORD: 1 PLAINTIFFS, by their attorney of record, hereby respond to the Request for Admissions 2 3 served on them, by Defendant, as follows: 4 RESPONSES TO REQUESTS FOR ADMISSION 5 6 REQUEST FOR ADMISSION NO. 1 7 Admit that you own at least one operable handgun that is suitable for self-defense. 8 RESPONSE TO REQUEST FOR ADMISSION NO. 1 9 OBJECTION: Plaintiff objects on grounds that the request does not seek information 10 that is either relevant or calculated to lead to the discovery of relevant evidence. 11 RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: I admit that I have at least one fully functional handgun, as defined in Penal Code section 16640, which 12 13 may be suitable for self-defense purposes in certain circumstances, but may not be suitable for 14 self-defense purposes in other circumstances. 15 16 **REQUEST FOR ADMISSION NO. 2** 17 Admit that you are able to purchase an operable handgun that is suitable for self-defense. 18 RESPONSE TO REQUEST FOR ADMISSION NO. 2 19 OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive 20 as to the meaning of "suitable for self-defense." Moreover, Plaintiff would have to speculate as 21 to the meaning of the phrase "suitable for self-defense." Firearms are tools. While one firearm 22 may be suitable for self-defense in one scenario, it may not be suitable for self-defense in another 23 scenario. As such, the term "suitable for self-defense" is too vague and ambiguous to properly 24 respond to. 25 RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: 26 Admit. 27 111

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REQUEST FOR ADMISSION NO. 3

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Admit that you are able to obtain a Glock 21SF with an ambidextrous magazine release through a private-party transfer under California Penal code section 32210(a).

RESPONSE TO REQUEST FOR ADMISSION NO. 3

OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive as to the meaning of "able to obtain." Does this term, in the context of the request, mean physically able, financially able, legally able, or logistically able? Moreover, Plaintiff would have to speculate as to the meaning of the phrase "able to obtain."

OBJECTION: This request seeks an admission pertaining to statements of law. Such matters are outside the scope of information permitted by the applicable court rules governing Requests for Admissions.

RESPONSE: Subject to the foregoing objections without waiving same, Denied.

Plaintiff is unaware of any private parties with a California Driver License, California

Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm is not on the list of handguns approved for a California licensed dealer direct sale in California.

Date: December /, 2012

WITH RESPECT TO OBJECTIONS ONLY

Respectfully submitted,

Davis & Associates

Jason A. Davis

Jason@CalGunLawyers.com

Attorneys for plaintiffs

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•		
1	Alan Gura (Calif. Bar No. 178,221)	
2	Gura & Possessky, PLCC	
3	101 N. Columbus St. Suite 405 Alexandria VA, 22314	
4	703.835.9085/Fax 703.997.7665	
5	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C.	
6	1645 Willow Street, Suite 150	
. 7	San Jose, CA 95125 408.364.84889/Fax 408.264.8487	
8	Land A. Davis (Calif Davids 224250)	
9	Jason A. Davis (Calif. Bar No. 224250) Davis & Associates	
10	27201 Puerta Real, Suite 300	
11	Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894	
12	IN THE UNITED ST	ATES DISTRICT COURT
13	FOR THE EASTERN I	DISTRICT OF CALIFORNIA
14		
15		
16	IVEN PENA, ROY VARGAS, DONA CROSTON, BRETT THOMAS, SECOND	Case No: 2:09-CV-01185-KJM-CMK
17	AMENDMENT FOUNDATION, INC.	PLAINTIFF ROY VARGAS'S
18	AND THE CALGUNS FOUNDATION, INC.	RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF
	iive.	INTERROGATORIES
19	Plaintiffs, vs.	
20	VS.	
21	STEPHEN LINDLEY,	
22	Defendant.	•
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Case 2:09-cv-01185-KJM-CKD Document 57-6 Filed 10/25/13 Page 3 of 8

1	PROPOUNDING PARTY:	Defendant Stephen Lindley	
2	RESPONDING PARTY:	Plaintiff Roy Vargas	
3	SET NUMBER:	One (1)	
4			
5	RESPONSES TO INTERROGATORIES		
6	INTERROGATORY NO. 1		
7	State the name, relationship to you, business address and telephone number, employer		
8	and title or position of the "willing seller" identified in Paragraph 39 of the amended complaint		
9	filed May 11, 2009.		
10	RESPONSE TO INTERROGATORY NO. 1		
11	RESPONSE:		
12	Name: PRK Arms		
13	Relationship: California Licensed Dealer		
14	Business Address: 5530 East Lamona Ave., Suite 103, Fresno CA 93727		
15	Telephone Number: 559-283-8666		
16	Employer: PRK Arms		
17	Title or Position: California Licensed Dealer		
18	. *		
19	INTERROGATORY NO. 2		
20	State the caliber, barrel length, serial number, condition (i.e. new or used), current owner		
21	and location (i.e. address) of the firearm identified in Paragraph 39.		
22	RESPONSE TO INTERROGA	ATORY NO. 2	
23	OBJECTION: This reque	est is so vague or ambiguous as to be burdensome or oppressive	
24	as to the meaning of "Paragraph 39," which was not defined in the request. Moreover, Plaintiff		
25	would have to speculate as to the meaning of the term "Paragraph 39" in order to properly		
26	respond to this request.		
27	RESPONSE: Without waiving the aforementioned objections, Plaintiff responds:		
28	Caliber: .45 Cal.		
	Page 2		
			

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. 1			
1	Barrel Length: 4.6"		
2	Serial Number: To Be Determined		
3	Condition (New or Used): New		
4	Current Owner: To Be Determined		
5	Address: To Be Determined		
6	To clarify, PRK Arms has identified their distributors that stock and distribute the firearm in		
7	question. PRK stands ready to sell said firearm to Plaintiff should Plaintiff qualify for one of the		
8	exemptions or should the law change.		
9			
10	INTERROGATORY NO. 3		
11	Do you contend that the "willing seller" identified in Paragraph 39 is able to legally sell		
12	you the firearm identified in that paragraph? If so state each fact and identify each document		
13	which you believe supports your contention.		
14	RESPONSE TO INTERROGATORY NO. 3		
15	OBJECTION: Contention interrogatories asking for each and every fact, or application of		
16	law to fact, that supports particular allegations in an opposing pleading may be held overly broad		
17	and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316,		
18	321 – providing "every fact" could require "laborious, time-consuming analysis, search and		
19	description of incidental, secondary, and perhaps irrelevant and trivial details."]		
20	RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: No.		
21	Plaintiff is not prohibited from acquiring and possessing firearms and the "willing seller" is, to		
22	the best of Plaintiff's knowledge, lawfully entitled to transfer firearms in accordance with United		
23	States firearm laws, including but not limited to 18 U.S.C. §921 et seq, and the regulations issued		
24	thereto, California firearm laws, including Part 6 of the California Penal Code, and the		
25	regulations issued thereto, and local laws. However, the firearm at issue became illegal for the		
26	"willing seller" to sell or transfer to Plaintiff, as a California resident, upon the passage and		
27	implementation of the Unsafe Handgun Act.		

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INTERROGATORY NO. 4

Do you contend that but for the firearm identified in Paragraph 39 not being listed on California's Roster of Handguns Certified for Sale you are otherwise eligible under all applicable state and federal laws to purchase and possess that firearm? If so, state each fact and identify each document which you believe supports your contention.

RESPONSE TO INTERROGATORY NO. 4

OBJECTION: Contention interrogatories asking for each and every fact, or application of law to fact, that supports particular allegations in an opposing pleading may be held overly broad and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316, 321 – providing "every fact" could require "laborious, time-consuming analysis, search and description of incidental, secondary, and perhaps irrelevant and trivial details."]

RESPONSE: Yes. I am a law abiding, responsible citizen and not prohibited from purchasing or possessing firearms under any state, federal or local law of which I am aware, but for the provisions challenged in this litigation.

INTERROGATORY NO. 5

State each fact and identify each document which you believe supports your contention in Paragraph 44 that "Defendant permits Glock customers to have their SF21-STD handguns fitted with an ambidextrous release at the Glock factory. In other words, California permits the sale of a Glock 21SF-STD, and the alteration of that handgun by Glock to add an ambidextrous magazine release, but will not allow your customers to purchase a new Glock 21SF's with an ambidextrous magazine release."

RESPONSE TO INTERROGATORY NO. 5

RESPONSE: California lists the subject firearm on its current Roster of Handguns
Certified for sale, stating: GLOCK 21SF-STD / Steel, Polymer Pistol 4.6" .45 ACP 6/14/2013.
The ambidextrous magazine release is a non-cosmetic functional alteration to the existing model.
Glock proposed to alter the magazine catch on its models so that the catch grabs the magazine from the middle, rather than from the side, of the magazine. In order to do so, part of the frame

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must be cut to allow for a new magazine release button. The modification to the magazine release is a physical change to the firearm. Physical changes to handguns do not qualify as exempt changes pursuant to Penal Code section 32030 (a), which states a firearm shall be deemed to satisfy the requirements of subdivision (a) of Section 32015 if another firearm made by the same manufacturer is already listed and the unlisted firearm differs from the listed firearm only in one or more of the following features:

- (1) Finish, including, but not limited to, bluing, chrome-plating, oiling, or engraving.
- (2) The material from which the grips are made.
- (3) The shape or texture of the grips, so long as the difference in grip shape or texture does not in any way alter the dimensions, material, linkage, or functioning of the magazine well, the barrel, the chamber, or any of the components of the firing mechanism of the firearm.
- (4) Any other purely cosmetic feature that does not in any way alter the dimensions, material, linkage, or functioning of the magazine well, the barrel, the chamber, or any of the components of the firing mechanism of the firearm.

As such, the firearm must be tested prior to being listed on the Roster of Handguns Certified for Sale in California. Yet, it cannot be added to the Roster of Handguns Certified for Sale in California because the firearm does not meet the requirements of Penal Code section 32010(d).

Moreover, a California owner of a Glock handgun model with a standard magazine release who wishes to have his or her handgun retrofitted with an ambidextrous magazine release by sending it to Glock may do so. Glock could then retrofit the handgun and return it to its owner. No further testing of the retrofitted handgun would be required. Plaintiff identifies the following documents: Exhibit H in Support of Plaintiff's Motion for Summary Judgment, including a letter dated January 12, 2007 from Deputy Attorney General to Carlos Guevara, General Counsel for Glock, Inc.; e-mail dated November 20, 2006, from Carlos Guevara to Justin Phillips; and a letter to Alison Merrilees from Carlos Guevara dated January 12, 2007.

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1	INTERROGATORY NO. 6
2	If in response to Request for Admission 1 you deny that you own at least one operable
3	handgun that is suitable for self-defense, state each fact on which you base your denial.
4	RESPONSE TO INTERROGATORY NO. 6
5	Not Applicable.
6	
7	INTERROGATORY NO. 7
8	If in response to Request for Admission 2 you deny that you are able to purchase an
9	operable handgun that is suitable for self-defense, state each fact on which you base your denial.
10	RESPONSE TO INTERROGATORY NO. 7
11	Not Applicable.
12	
13	INTERROGATORY NO. 8
14	If in response to Request for Admission 3 you deny that you are able to obtain a Glock
15	21SF with an ambidextrous magazine release through a private-party transfer under California
16	Penal Code section 32110(a), state each fact on which you base your denial.
17	RESPONSE TO INTERROGATORY NO. 8
18	RESPONSE: Plaintiff is unaware of any private parties with a California Driver License,
19	California Identification Card or Military identification card who possess such a firearm in an
20	unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a
21	California Driver License, California Identification Card or Military identification card who are
22	willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm
23	is not on the list of handguns approved for a California licensed dealer direct sale in California.
24	Date: December //, 2012
25	Date: December 11, 2012 WITH RESPECT TO OBJECTIONS ONLY
26	Davis & Associates
27	Jason A. Davis
28	Jason@CalGunLawyers.com Attorneys for plaintiffs
	Page 6

VERIFICATION 1 2 ROY VARGAS declares: 3 I am a plaintiff in the above-captioned action; 1. I have read the foregoing "PLAINTIFF ROY VARGAS'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES" ("The 5 Response") and know its contents. I am informed and believed that the matters set forth 6 in the Response are true and accurate, and on that ground I allege, to the best of my 7 knowledge and information, that the matters therein stated are true and accurate. 8 I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and that this Verification was executed on December 11, 2012, at 10 11 Monterel Park, California. 12 13 j4 15 16 17 18 19 20 21 22 23 24 25 26 27 28

Page 7

ROY VARGAS' RESPONSE TO DEFENDANT'S FIRST SET OF INTERROGATORIES

(2:09-ev-01185-kjm-ckd)

1 2 3 4	Alan Gura (Calif. Bar No. 178,221) Gura & Possessky, PLCC 101 N. Columbus St. Suite 405 Alexandria VA, 22314 703.835.9085/Fax 703.997.7665	
5 6 7 8 9	Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150 San Jose, CA 95125 408.364.84889/Fax 408.264.8487 Jason A. Davis (Calif. Bar No. 224250) Davis & Associates 27201 Puerta Real, Suite 300 Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894	
12 13 14		ATES DISTRICT COURT DISTRICT OF CALIFORNIA
15 16 17 18 19 20 21 22 23	IVAN PENA, ROY VARGAS, DONA CROSTON, BRETT THOMAS, SECOND AMENDMENT FOUNDATION, INC. AND THE CALGUNS FOUNDATION, INC. Plaintiffs, vs. STEPHEN LINDLEY, Defendant.	Case No: 2:09-CV-01185-KJM-CMK PLAINTIFF DONA CROSTON'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSIONS
232425262728		

1 TO THE DEFENDANT HEREIN AND TO ITS ATTORNEYS OF RECORD: 2 PLAINTIFFS, by their attorney of record, hereby respond to the Request for Admissions 3 served on them, by Defendant, as follows: 4 5 RESPONSES TO REQUESTS FOR ADMISSION 6 REQUEST FOR ADMISSION NO. 1 7 Admit that you own at least one operable handgun that is suitable for self-defense. 8 RESPONSE TO REQUEST FOR ADMISSION NO. 1 9 OBJECTION: Plaintiff objects on grounds that the request does not seek information 10 that is either relevant or calculated to lead to the discovery of relevant evidence. 11 RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: I admit 12 that I have at least one fully functional handgun, as defined in Penal Code section 16640, which 13 may be suitable for self-defense purposes in certain circumstances, but may not be suitable for 14 self-defense purposes in other circumstances. 15 16 REQUEST FOR ADMISSION NO. 2 17 Admit that you are able to purchase an operable handgun that is suitable for self-defense. 18 RESPONSE TO REQUEST FOR ADMISSION NO. 2 19 OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive 20 as to the meaning of "suitable for self-defense." Moreover, Plaintiff would have to speculate as 21 to the meaning of the phrase "suitable for self-defense." Firearms are tools. While one firearm 22 may be suitable for self-defense in one scenario, it may not be suitable for self-defense in another 23 scenario. As such, the term "suitable for self-defense" is too vague and ambiguous to properly 24 respond to.

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Admit.

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RESPONSE: Without waiving the aforementioned objections, Plaintiff responds:

REQUEST FOR ADMISSION NO. 3

Admit that you are able to obtain a Springfield Armory XD-45 Tactical 5" Bi-Tone stainless steel/black handgun in .45 ACP, model number XD9623, through a private-party transfer under California Penal Code section 32210(a).

RESPONSE TO REQUEST FOR ADMISSION NO. 3

OBJECTION: This request is so vague or ambiguous as to be burdensome or oppressive as to the meaning of "able to obtain." Does this term, in the context of the request, mean physically able, financially able, legally able, or logistically able? Moreover, Plaintiff would have to speculate as to the meaning of the phrase "able to obtain."

OBJECTION: This request seeks an admission pertaining to statements of law. Such matters are outside the scope of information permitted by the applicable court rules governing Requests for Admissions.

RESPONSE: Subject to the foregoing objections without waiving same, Denied. Plaintiff is unaware of any private parties with a California Driver License, California Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm is not on the list of handguns approved for a California licensed dealer direct sale in California.

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27 28 Date: December / 2012

WITH RESPECT TO OBJECTIONS ONLY Respectfully submitted,

Davis & Associates

Jason A. Davis

Jason@CalGunLawyers.com Attorneys for plaintiffs

Page 3

EXHIBIT H

Alan Gura (Calif. Bar No. 178,221) Gura & Possessky, PLCC 101 N. Columbus St. Suite 405 Alexandria VA, 22314 703.835.9085/Fax 703.997.7665 Donald E.J. Kilmer, Jr. (Calif. Bar No. 179986) Law Office of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150 San Jose, CA 95125 408.364.84889/Fax 408.264.8487	
Jason A. Davis (Calif. Bar No. 224250) Davis & Associates 27201 Puerta Real, Suite 300 Mission Viejo, CA 92691 Tel 949.436.GUNS/Fax 949.288.6894	
12 IN THE UNITED STATES DISTRICT COURT	
FOR THE EASTERN DISTRICT OF CALIFORNIA	
14	
15 16 17 18 18 19 19 19 10 10 10 10 11 11 11 12 13 14 15 15 16 17 17 18 18 18 18 18 19 19 19 19 19 19 19 19 19 19 19 19 19	'S
18 INC. STEPHEN LINDLEY'S FIRST INTERROGATORIES	SEI OF
Plaintiffs,	
20	
21 STEPHEN LINDLEY,	
Defendant.	
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Case 2:09-cv-01185-KJM-CKD Document 57-8 Filed 10/25/13 Page 3 of 9

1	PROPOUNDING PARTY:	Defendant Stephen Lindley
2	RESPONDING PARTY:	Plaintiff Dona Croston
3	SET NUMBER:	One (1)
4		
5	RESPON	ISES TO INTERROGATORIES
6	INTERROGATORY NO. 1	
7	State the name, relationship	to you, business address and telephone number, employer
8	and title or position of the "willing s	eller" identified in Paragraph 45 of the amended complaint
9	filed May 11, 2009.	
10	RESPONSE TO INTERROGATO	ORY NO. 1
11 .	RESPONSE:	
12	Name: PRK Arms	
13	Relationship: California Lice	ensed Dealer
14	Business Address: 5530 East Lamona Ave., Suite 103, Fresno CA 93727	
15	Telephone Number: 559-283-8666	
16	Employer: PRK Arms	
17	Title or Position: California Licensed Dealer	
18	To clarify, PRK Arms has identified	d their distributors that stock and distribute the firearm in
19	question. PRK stands ready to sell	said firearm to Plaintiff should Plaintiff qualify for one of the
20	exemptions or should the law change	ge.
21		
22	INTERROGATORY NO. 2	
23	State the caliber, barrel leng	th, serial number, condition (i.e. new or used), current owner
24	and location (i.e. address) of the fire	earm identified in Paragraph 45.
25	RESPONSE TO INTERROGAT	ORY NO. 2
26	OBJECTION: This request	is so vague or ambiguous as to be burdensome or oppressive
27	as to the meaning of "Paragraph 45	"," which was not defined in the request. Moreover, Plaintiff
28	would have to speculate as to the m	neaning of the term "Paragraph 45" in order to properly
		Page 2

Case 2:09-cv-01185-KJM-CKD Document 57-8 Filed 10/25/13 Page 4 of 9

1	respond to this request.
2	RESPONSE: Without waiving the aforementioned objections, Plaintiff responds:
3	Caliber: .45 Cal.
4	Barrel Length: 5 inches
5	Serial Number: To Be Determined
6	Condition (New or Used): New
7	Current Owner: To Be Determined
8	Address: To Be Determined
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10	INTERROGATORY NO. 3
11	Do you contend that the "willing seller" identified in Paragraph 45 is able to legally sell
12	you the firearm identified in that paragraph? If so, state each fact and identify each document
13	which you believe supports your contention.
14	RESPONSE TO INTERROGATORY NO. 3
15	OBJECTION: Contention interrogatories asking for each and every fact, or application of
16	law to fact, that supports particular allegations in an opposing pleading may be held overly broad
17	and unduly burdensome. [IPV, Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316,
18	321 - providing "every fact" could require "laborious, time-consuming analysis, search and
19	description of incidental, secondary, and perhaps irrelevant and trivial details."]
20	RESPONSE: Without waiving the aforementioned objections, Plaintiff responds: No.
21	Plaintiff is not prohibited from acquiring and possessing firearms, and the "willing seller" is, to
22	the best of Plaintiff's knowledge, lawfully entitled to transfer firearms in accordance with United
23	States firearm laws, including but not limited to 18 U.S.C. §921 et seq, and the regulations issued
24	thereto, California firearm laws, including Part 6 of the California Penal Code, and the
25	regulations issued thereto, and local laws. However, the firearm at issue became illegal for the
26	"willing seller" to sell or transfer to Plaintiff, as a California resident, upon the passage and
27	implementation of the Uncofe Hondown Act

INTERROGATORY NO. 4

Do you contend that but for the firearm identified in Paragraph 45 not being listed on California's Roster of Handguns Certified for Sale you are otherwise eligible under all applicable state and federal laws to purchase and possess that firearm? If so, state each fact and identify each document which you believe supports your contention.

RESPONSE TO INTERROGATORY NO. 4

OBJECTION: Contention interrogatories asking for each and every fact, or application of law to fact, that supports particular allegations in an opposing pleading may be held overly broad and unduly burdensome. [IPV. Inc. v. Mercantile Bank of Topeka (D KS 1998) 179 FRD 316, 321 – providing "every fact" could require "laborious, time-consuming analysis, search and description of incidental, secondary, and perhaps irrelevant and trivial details."]

RESPONSE: Yes. I am a law abiding, responsible citizen and not prohibited from purchasing or possessing firearms under any state, federal or local law of which I am aware, but for the provisions challenged in this litigation.

INTERROGATORY NO. 5

State each fact and identify each document which you believe supports your contention in Paragraph 48 that "[w]hile the identical handguns with a different finish were grandfathered, Springfield Armory could not get the XD-45 in .45 ACP and Bi-Tone finish registered given the new listing requirements."

RESPONSE TO INTERROGATORY NO. 5

RESPONSE: The Springfield Armory XD-45 Tactical 5" Bi-Tone stainless steel/black handgun in .45 ACP (model XD9623) is substantially identical to the following firearms that are listed on the Roster of Handguns Certified for Sale: Springfield Armory XD-45 Tactical 5" .45 ACP in OD Green (model XD9622), Springfield Armory XD-45 Tactical 5" .45 ACP in black (XD9621), and Springfield Armory XD-45 Tactical 5" .45 in Dark Earth (XD9162). However, the slide of the firearm is stainless steel as opposed to non-stainless steel. As such, it cannot be added pursuant to Penal Code section 32030 (a), which states a firearm shall be deemed to

satisfy the requirements of subdivision (a) of Section 32015 if another firearm made by the same manufacturer is already listed and the unlisted firearm differs from the listed firearm only in one or more of the following features:

- (1) Finish, including, but not limited to, bluing, chrome-plating, oiling, or engraving.
- (2) The material from which the grips are made.
- (3) The shape or texture of the grips, so long as the difference in grip shape or texture does not in any way alter the dimensions, material, linkage, or functioning of the magazine well, the barrel, the chamber, or any of the components of the firing mechanism of the firearm.
- (4) Any other purely cosmetic feature that does not in any way alter the dimensions, material, linkage, or functioning of the magazine well, the barrel, the chamber, or any of the components of the firing mechanism of the firearm.

Moreover, Penal Code section 32010 prohibits the submission of this firearm for testing due to the fact that it does not have an approved chamber loaded indicator. Plaintiff also cites email correspondence between Frank Perdicaro and Brent George, Staff Services Analyst for the California Department of Justice, dated July 30, 2007 and August 9, 2007 in which the Department of Justice representative informed Perdicaro that "any handgun submitted for inclusion on the Roster must now include magazine disconnect and chamber loaded indicators." Plaintiff also cites correspondence between Debra Else of Springfield Army, Inc. and former Director of the Firearms Division of the Department of Justice, Randy Rossi, dated February 9, 2007 and October 3, 2007.

23 INTERROGATORY NO. 6

If in response to Request for Admission 1 you deny that you own at least one operable handgun that is suitable for self-defense, state each fact on which you base your denial.

RESPONSE TO INTERROGATORY NO. 6

Not Applicable.

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INTERROGATORY NO. 7

If in response to Request for Admission 2 you deny that you are able to purchase an operable handgun that is suitable for self-defense, state each fact on which you base your denial.

RESPONSE TO INTERROGATORY NO. 7

Not Applicable.

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INTERROGATORY NO. 8

If in response to Request for Admission 3 you deny that you are able to obtain a Springfield Armory XD-45 Tactical 5" Bi-Tone stainless steel/black handgun in .45 ACP, model number XD9623, through a private-party transfer under California Penal Code section 32110(a), state each fact on which you base your denial.

RESPONSE TO INTERROGATORY NO. 8

RESPONSE: Plaintiff is unaware of any private parties with a California Driver License, California Identification Card or Military identification card who possess such a firearm in an unaltered factory manufactured condition. Nor is Plaintiff aware of any private parties with a California Driver License, California Identification Card or Military identification card who are willing to sell said firearm in an unaltered factory manufactured condition. And, such a firearm is not on the list of handguns approved for a California licensed dealer direct sale in California.

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WITH RESPECT TO OBJECTIONS ONLY

Davis & Associates

ason A. Davis

Jason@CalGunLawyers.com

Attorneys for plaintiffs

Page 6

VERIFICATION

DONA CROSTON declares:

- 1. I am a plaintiff in the above-captioned action;

DONA CROSTON

Page 7

DECLARATION OF SERVICE BY U.S. MAIL

Court:

U.S. District Court, Eastern District of California

Case Name:

Pena v. Cid

Case No:

2:09-CV-01185-KJM-CMK

I declare:

I am employed in the Law Office of Davis & Associates, which is the office o a member of the California State Bar, at which member's discretion this service was made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at Davis & Associates for collection and processing of correspondence for mailing with the U.S. Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at Davis & Associates is deposited with the U.S. Postal Service with postage thereon fully prepaid that same day in the ordinary course of business.

On <u>December 11</u>, 2012, I served the attached [1] PLAINTIFF BRETT THOMAS'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSION [2] PLAINTIFF BRETT THOMAS'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES [3] PLAINTIFF ROY VARGAS'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSIONS [4] PLAINTIFF ROY VARGAS'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES [5] PLAINTIFF DONA CROSTON'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSIONS [6] PLAINTIFF DONA CROSTON'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES [7] PLAINTIFF IVAN PENA'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF REQUESTS FOR ADMISSIONS [8] PLAINTIFF IVAN PENA'S RESPONSE TO DEFENDANT STEPHEN LINDLEY'S FIRST SET OF INTERROGATORIES, by placing a true copy thereof enclosed in a sealed envelope in the internal mail collection system at Davis & Associates at 27201 Puerta Real, Suite 300, Mission Viejo, CA 92691, addressed as follows:

KAMALA D. HARRIS
Attorney General of California
Peter K. Southworth
Supervising Deputy Attorney General
Anthony R. Hakl
Deputy Attorney General
1300 | Street, Suite 125
P.O. Box 944255
Sacramento, CA 94244-2550

I declare under penalty of perjury under the laws of the laws of the United States of America and the State of California that the foregoing is true and correct and that this declaration was executed on December 11, 2012, at Mission Viejo, California.

Jason Davis

Declarant

Signature

EXHIBIT I

KAMALA D. HARRIS Attorney General of California 1 PETER K. SOUTHWORTH Supervising Deputy Attorney General ANTHONY R. HAKL, State Bar No. 197335 2 Deputy Attorney General 1300 I Street, Suite 125 3 4 P.O. Box 944255 Sacramento, CA 94244-2550 5 Telephone: (916) 322-9041 Fax: (916) 324-8835 6 E-mail: Anthony.Hakl@doj.ca.gov Attorneys for Defendant Stephen Lindley 8 9 IN THE UNITED STATES DISTRICT COURT 10 FOR THE EASTERN DISTRICT OF CALIFORNIA 11 12 Case No. 2:09-CV-01185-KJM-CMK IVAN PEÑA, ROY VARGAS, DOÑA 13 CROSTON, BRETT THOMAS, SECOND **DEFENDANT STEPHEN LINDLEY'S** 14 AMENDMENT FOUNDATION, INC. and RESPONSE TO INTERROGATORIES, SET THE CALGUNS FOUNDATION, INC., 15 ONE Plaintiffs. 16 17 18 STEPHEN LINDLEY, 19 Defendant. 20 21 22 PROPOUNDING PARTY: PLAINTIFFS, IVAN PEÑA, ROY VARGAS, 23 DOÑA CROSTON, BRETT THOMAS, THE SECOND AMENDMENT FOUNDATION, INC., and THE CALGUNS 24 FOUNDATION, INC. 25 **DEFENDANT STEPHEN LINDLEY RESPONDING PARTY:** 26 ONE SET NO.: 27 28

DEFENDANT STEPHEN LINDLEY'S RESPONSE TO INTERROGATORIES, SET ONE

(2:09-CV-01185-KJM-CH00002

Case 2:09-cv-01185-KJM-CKD Document 57-9 Filed 10/25/13 Page 2 of 15

- 11	·
1	RESPONSES TO INTERROGATORIES
2	INTERROGATORY NO. 1:
3	Identify each person answering these interrogatories, supplying information, or assisting
4	in any way with the preparation of the answers to these interrogatories.
5	RESPONSE TO INTERROGATORY NO. 1:
6	Defendant Stephen Lindley; Deputy Attorney General Anthony R. Hakl; and Deputy
7	Attorney General Kimberly Granger.
8	INTERROGATORY NO. 2:
9	If defendant has not been sued in his correct name, state the correct name.
10	RESPONSE TO INTERROGATORY NO. 2:
11	Defendant Lindley has been sued in his correct name.
12	INTERROGATORY NO. 3:
13	Identify every potential party to this lawsuit.
14	RESPONSE TO INTERROGATORY NO. 3:
15	Objection. The phrase "potential party" is vague.
16	Notwithstanding this objection, based on his understanding of Plaintiffs' claims,
17	Defendant is not aware of any other potential parties.
18	INTERROGATORY NO. 4:
19	Identify every person with knowledge of relevant facts (i.e., relating to microstamping)
20	and summarize each person's knowledge and opinions.
21	RESPONSE TO INTERROGATORY NO. 4:
22	Defendant Stephen Lindley and Special Agent Supervisor Blake Graham have knowledge
23	of facts relevant to the Roster of Handguns Certified for Sale ("roster"), including but not limited
24	to the microstamping requirement.
25	Assistant Government Program Analyst Leslie McGovern has knowledge of the relevant
26	administrative facts regarding the placement of handguns on the roster.
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INTERROGATORY NO. 5:

Identify all persons or entities that have possession, custody, or control of materials relevant (i.e., relating to microstamping) to this suit and the materials over which they have possession, custody, or control.

RESPONSE TO INTERROGATORY NO. 5:

The Bureau of Firearms, Defendant Stephen Lindley, Special Agent Supervisor Blake Graham and Assistant Government Program Analyst Leslie McGovern have possession, custody, or control over microstamping materials relevant to this action.

INTERROGATORY NO. 6:

Identify Defendant's opinions and contentions about his defenses relating to the facts or the application of law to the facts (i.e., relating to microstamping) in this case.

RESPONSE TO INTERROGATORY NO. 6:

Objection. This interrogatory is vague and overbroad. The interrogatory fails to identify any specific opinion or contention relating to any particular fact or application of law to facts. The interrogatory is not reasonably calculated to narrow or sharpen the issues. Defendant is under no obligation to state all of his "opinions and contentions" in an interrogatory answer. See, e.g., American Civil Liberties Union v. Gonzales, 237 F.R.D. 120, 124 (E.D. Pa. 2006); Nestle Foods Corp. v. Aetna CA's. & Sur. Co., 135 F.R.D. 101, 111 (D. N.J. 1990); Hockley v. Zent, Inc., 89 F.R.D. 26, 31 (M.D. Pa. 1980).

INTERROGATORY NO. 7:

Identify any steps taken to preserve materials relevant (i.e., relating to microstamping) to this suit, including any alterations to routine operations of an electronic information system, and do the following:

- a. Identify whether a specific litigation-hold policy or other similar suspension order was created.
 - b. Identify the person who created the litigation-hold policy.
 - c. Specify the date when the litigation-hold policy was created.

- d. State whether the litigation-hold policy was recorded, regardless of the medium (e.g., paper or electronic), and if so, identify the material.
 - e. Identify the persons who received the litigation-hold policy.
 - f. Specify the date when the persons received the litigation-hold policy.
- g. State whether Defendant will, without a formal request to produce, attach a copy of all materials described in the answer to this interrogatory.

RESPONSE TO INTERROGATORY NO. 7:

Defendant has complied with his duty to preserve evidence relevant to Plaintiffs' microstamping claim. Defendant is unaware of any specific litigation-hold policy or other similar suspension order.

INTERROGATORY NO. 8:

Identify each and every manufacturer of firearms who has applied to have a handgun placed on the California approved handgun roster from May 17, 2013 to the time this interrogatory has been answered including but not limited to:

- a. Name of the company/manufacturer.
- b. Address of the company/manufacturer.
- c. Make/model of the firearm submitted for placement on the roster.
- d. Whether the handgun is eligible for placement on the roster.
- e. For every handgun not eligible for placement on the roster, each reason barring the handgun's eligibility for placement on the roster.

RESPONSE TO INTERROGATORY NO. 8:

In response to this interrogatory, Defendant states that from May 17, 2013, to the date of these interrogatory answers, no company or manufacturer has submitted a firearm for placement on the California approved handgun roster that satisfies California's microstamping requirement.

Nevertheless, during the time period in question, companies and manufacturers have submitted numerous handguns for placement on the California handgun roster pursuant to Penal Code section 32030, and Defendant has placed several of those firearms on the roster, thus making additional

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1	handguns available to the public for purchase. Defendant is still compiling the additional data
2	responsive to this interrogatory and will supplement this answer as soon as possible.
3	INTERROGATORY NO. 9:
4	Identify each and every academic report and/or study that purports to set forth the public
5	safety advantages of microstamping. Please include:
6	a. Author of the report/study.
7	b. Source of funding for the report/study.
8	c. Date of publication of the study/report.
9	d. Whether or not the study/report was peer reviewed.
10	RESPONSE TO INTERROGATORY NO. 9:
11	Defendant is aware of the study titled by "What Micro Serialized Firing Pins Can Add to
12	Firearm Identification in Forensic Science: How Viable are Micro-Marked Firing Pin
13	Impressions as Evidence?" (available at http://forensicscience.ucdavis.edu/pdf/microserial.pdf).
14	The authors are David Howitt, Ph. D., Frederic A. Tulleners, and Michael T. Beddow, Forensic
15	Science Graduate Group, University of California, Davis. The study was funded by the
16	California Policy Research Center, University of California. Defendant does not know the exact
17	publication date, but it appears to have been published in 2008. The study indicates it was peer
18	reviewed.
19	INTERROGATORY NO. 10:
20	Identify each and every criminological report and/or study that purports to set forth the
21	public safety advantages of microstamping. Please include:
22	a. Author of the report/study.
23	b. Source of funding for the report/study.
24	c. Date of publication of the study/report.
25	d. Whether or not the study/report was peer reviewed.
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RESPONSE TO INTERROGATORY NO. 10:

Defendant is aware of "Forensic Firearm Identification of Semiautomatic Handguns Using Laser Formed Microstamping Elements" (available at http://csgv.org/wp/wp-content/uploads/2013/06/FORENSIC-FIREARM-IDENTIFICATION-OF-SEMIAUTOMATIC-HANDGUNS-LIZOTTE.pdf). The authors are Todd E. Lizotte and Orest Ohar, Microstamping Technology Transfer Center, Pivotal Development Company, Londonderry, NH 03053. Defendant does not know who funded the study or whether it was peer reviewed. The date of the study appears to be 2008.

Defendant is also aware of "Extracting Ballistic Forensic Intelligence: Microstamped Firearms Deliver Data for Illegal Firearm Traffic Mapping – Technology" (available at http://csgv.org/wp/wp-content/uploads/2013/06/LIZOTTE-RESEARCH-PAPER-AUGUST-2009.pdf). The authors are Orest P. Ohar and Todd E. Lizotte, Pivotal Development, LLC Hooksett, NH 03106. The date of the study is 2009. Defendant does not know who funded the study or whether it was peer reviewed.

INTERROGATORY NO. 11:

Identify each and every government report and/or study that purports to set forth the public safety advantages of microstamping. Please include:

- a. Author of the report/study.
- b. Source of funding for the report/study.
- c. Date of publication of the study/report.
- d. Whether or not the study/report was peer reviewed.

RESPONSE TO INTERROGATORY NO. 11:

Defendant is aware of the book <u>Ballistic Imaging</u>, by Daniel L. Cork, John E. Rolph, Eugene S. Meieran, and Carol V. Petrie, Editors, Committee to Assess the Feasibility, Accuracy and Technical Capability of a National Ballistics Database, National Research Council. The date of publication is 2008. With respect to funding, the book indicates that "it was supported by contract 2003-IJ-CX-1013 between the National Academy of Sciences and the National Institute

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1	of Justice. The work of the Committee on National Statistics is provided by a consortium of
2	federal agencies through a grant from the National Science Foundation (Number SBR-0112521)."
3	Defendant presumes the book was peer reviewed.
4	INTERROGATORY NO. 12:
5	Identify each person who would offer testimony in this case supporting your contentions
6	regarding microstamping.
7	RESPONSE TO INTERROGATORY NO. 12:
8	Defendant Stephen Lindley, Special Agent Supervisor Blake Graham, and Associate
9	Governmental Program Analyst Leslie McGovern.
.0	INTERROGATORY NO. 13:
1	If Request for Admission #1 is denied, set forth each and every fact to support your belief
12	that the Court lacks personal jurisdiction over all the parties to this lawsuit.
13	RESPONSE TO INTERROGATORY NO. 13:
14	Not applicable.
15	INTERROGATORY NO. 14:
16	If Request for Admission #2 is denied, set forth each and every fact to support your belief
17	that the Court lacks subject matter jurisdiction over the issues raised in this lawsuit.
18	RESPONSE TO INTERROGATORY NO. 14:
19	Not applicable.
20	INTERROGATORY NO. 15:
21	If Request for Admission #3 is denied, set forth each and every fact to support your belief
22	that the Defendant named in the Second Amended Complaint (Doc #53) is not properly named.
23	RESPONSE TO INTERROGATORY NO. 15:
24	Not applicable.
25	INTERROGATORY NO. 16:
26	If Request for Admission #4 is denied, describe each handgun, by make and model, that
27	you claim satisfies California's microstamping requirement.

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1	RESPONSE TO INTERROGATORY NO. 16:
2	Not applicable.
3	INTERROGATORY NO. 17:
4	If Request for Admission #5 is denied, describe all plans known to you by any firearms
5	manufacturer to introduce handguns for sale in the United States that include California compliant
6	microstamping technology.
7.	RESPONSE TO INTERROGATORY NO. 17:
8	Not applicable, but Defendant currently does not know of any such plans.
9	INTERROGATORY NO. 18:
0	If Request for Admission #6 is denied, describe the basis for your belief that a firearms
1	manufacturer will, in the foreseeable future, offer handguns for sale in the United States that
2.	incorporate microstamping technology compliant with the requirements of California's handgun
3	roster law.
4	RESPONSE TO INTERROGATORY NO. 18:
5	Not applicable, but Defendant currently does not have such a belief.
16	INTERROGATORY NO. 19:
17	If Request for Admission #7 is admitted, describe the additional cost of adding California
18	compliant microstamping technology to each firearm.
19	RESPONSE TO INTERROGATORY NO. 19:
20	Not applicable, but Defendant does not know of any such additional cost.
21	INTERROGATORY NO. 20:
22	If Request for Admission #8 is denied, set forth each and every fact that supports your
23	belief that the microstamping requirement does not prevent Plaintiff Peña from acquiring the
24	firearm identified in paragraph 41 of the Second Amended Complaint.
25	RESPONSE TO INTERROGATORY NO. 20:
26	Plaintiff could acquire the firearm by way of a private party transaction.
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INTERROGATORY NO. 21: If Request for Admission #9 is denied, set forth each and every fact that supports your belief that the microstamping requirement does not prevent Plaintiff Vargas from acquiring the firearm identified in paragraph 43 of the Second Amended Complaint. **RESPONSE TO INTERROGATORY NO. 21:** Plaintiff could acquire the firearm by way of a private party transaction. **INTERROGATORY NO. 22:** If Request for Admission #10 is denied, set forth each and every fact that supports your belief that the microstamping requirement does not prevent Plaintiff Croston from acquiring the firearm identified in paragraph 49 of the Second Amended Complaint. **RESPONSE TO INTERROGATORY NO. 22:** Plaintiff could acquire the firearm by way of a private party transaction. **INTERROGATORY NO. 23:** If Request for Admission #11 is denied, set forth each and every fact that supports your belief that the microstamping requirement does not prevent Plaintiff Thomas from acquiring the firearm identified in paragraph 54 of the Second Amended Complaint.

RESPONSE TO INTERROGATORY NO. 23:

Plaintiff could acquire the firearm by way of a private party transaction.

INTERROGATORY NO. 24:

Set forth each and every fact that supports your First Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that the Second Amended Complaint (Doc #53) fails to present a case or controversy that is ripe for the Court's consideration.

RESPONSE TO INTERROGATORY NO. 24:

Plaintiffs have failed to make a meaningful attempt to acquire the handguns in question and are able to legally acquire the handguns, such as through a private party transaction.

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INTERROGATORY NO. 25:

Set forth each and every fact that supports your Second Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that the individual plaintiffs (Peña, Vargas, Croston and Thomas) lack standing to bring this action.

RESPONSE TO INTERROGATORY NO. 25:

Plaintiffs have failed to make a meaningful attempt to acquire the handguns in question and are able to legally acquire the handguns, such as through a private party transaction.

INTERROGATORY NO. 26:

Set forth each and every fact that supports your Second Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that the individual plaintiffs (Peña, Vargas, Croston and Thomas) have suffered no injuries or credible threat of injuries.

RESPONSE TO INTERROGATORY NO. 26:

Plaintiffs have failed to make a meaningful attempt to acquire the handguns in question and are able to legally acquire the handguns, such as through a private party transaction.

INTERROGATORY NO. 27:

Set forth each and every fact that supports your Second Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that the plaintiff Second Amendment Foundation, Inc., lacks associational standing to bring this action.

RESPONSE TO INTERROGATORY NO. 27:

Because the individual Plaintiffs do not have standing to sue, Second Amendment Foundation, Inc. does not have associational standing. An association has standing when "(a) its members would otherwise have standing to sue in their own right; (b) the interests it seeks to protect are germane to the organization's purpose; and (c) neither the claim asserted nor the relief requested requires the participation of individual members in the lawsuit." *Hunt v. Washington State Apple Adver. Comm'n*, 432 U.S. 333, 343 (1977).

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INTERROGATORY NO. 28:

Set forth each and every fact that supports your Second Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that plaintiff The Calguns Foundation, Inc., lacks associational standing to bring this action.

RESPONSE TO INTERROGATORY NO. 28:

Because the individual Plaintiffs do not have standing to sue, The Calguns Foundation, Inc. does not have associational standing. An association has standing when "(a) its members would otherwise have standing to sue in their own right; (b) the interests it seeks to protect are germane to the organization's purpose; and (c) neither the claim asserted nor the relief requested requires the participation of individual members in the lawsuit." *Hunt v. Washington State Apple Adver. Comm'n*, 432 U.S. 333, 343 (1977).

INTERROGATORY NO. 29:

Set forth each and every fact that supports your Second Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that the institutional plaintiffs (Second Amendment Foundation, Inc., and The Calguns Foundation, Inc.) have suffered no injuries or credible threat of injuries.

RESPONSE TO INTERROGATORY NO. 29:

Defendant is simply unaware of any action by him that has resulted in a concrete and demonstrable injury to Second Amendment Foundation, Inc. or The Calguns Foundation, Inc.'s activities.

INTERROGATORY NO. 30:

Set forth each and every fact that supports your Third Affirmative Defense in the Answer to the Second Amended Complaint (Doc #54) that Plaintiffs' claims are barred by the Eleventh Amendment.

RESPONSE TO INTERROGATORY NO. 30:

Defendant contends that the Eleventh Amendment provides immunity against any suit where he is sued for damages in his official capacity. Defendant pled this affirmative defense out

of an abundance of caution. Defendant does not contend that the Eleventh Amendment prohibits Plaintiffs' claims for declaratory or injunctive relief as currently pled. Respectfully submitted, Dated: August Kamala D. Harris Attorney General of California PETER K. SOUTHWORTH Supervising Deputy Attorney General Deputy Attorney General Attorneys for Defendant Stephen Lindley SA2009310413

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VERIFICATION I, Stephen Lindley, declare: I am the Chief of the Bureau of Firearms of the California Department of Justice. I have read Defendant Stephen Lindley's Response To Interrogatories, Set One. I know their contents and the same are true to my knowledge, information and belief. I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct. Executed on August <u>F</u>, 2013 in Sacramento, California. Stephen Lindley

DECLARATION OF SERVICE BY U.S. MAIL and E-MAIL

Case Name:

Ivan Pena, et al. v. Stephen Lindley

No.

2:09-CV-01185-KJM-CKD

I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service that same day in the ordinary course of business.

On August 19, 2013, I served the attached

1. DEFENDANT STEPHEN LINDLEY'S RESPONSE TO INTERROGATORIES, SET

2. DEFENDANT STEPHEN LINDLEY'S RESPONSE TO REQUESTS FOR

ADMISSION, SET ONE

by transmitting a true copy via electronic mail. In addition, I placed a true copy thereof enclosed in a sealed envelope, in the internal mail system of the Office of the Attorney General, addressed as follows:

Donald E.J. Kilmer, Jr. Attorney at Law Law Offices of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150 San Jose, CA 95125 E-Mail: don@dklawoffice.com Co-Counsel for Plaintiffs	Alan Gura Gura & Possessky, PLLC 101 North Columbus Street, Suite 405 Alexandria, VA 22314 E-Mail: alan@gurapossessky.com Co-Counsel for Plaintiffs
Jason A. Davis Davis & Associates 30021 Tomas Street, Suite 300 Rancho Santa Margarita, CA 92688 E-Mail: Jason@calgunlawyers.com Co-Counsel for Plaintiffs	

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that this declaration was executed on August 19, 2013, at Sacramento, California.

BRENDA APODACA

Declarant

Jorenda Opodaca Signature

EXHIBIT J

Case 2:09-cv-01/185-KJM-CKD Document 57-10 Filed 10/25/13 Page 2 of 8 KAMALA D. HARRIS Attorney General of California PETER K. SOUTHWORTH 1 2 Supervising Deputy Attorney General ANTHONY R. HAKL, State Bar No. 197335 3 Deputy Attorney General 1300 I Street, Suite 125 4 P.O. Box 944255 Sacramento, CA 94244-2550 Telephone: (916) 322-9041 Fax: (916) 324-8835 5 6 E-mail: Anthony.Hakl@doj.ca.gov Attorneys for Defendant Stephen Lindley 7 8 9 10 IN THE UNITED STATES DISTRICT COURT 11 FOR THE EASTERN DISTRICT OF CALIFORNIA 12 13 IVAN PEÑA, ROY VARGAS, DOÑA Case No. 2:09-CV-01185-KJM-CMK CROSTON, BRETT THOMAS, SECOND 14 AMENDMENT FOUNDATION, INC. and **DEFENDANT STEPHEN LINDLEY'S** RESPONSE TO REQUESTS FOR THE CALGUNS FOUNDATION, INC., 15 ADMISSION, SET ONE Plaintiffs, 16 17 18 STEPHEN LINDLEY, 19 Defendant. 20 2.1 22 PROPOUNDING PARTY: PLAINTIFFS, IVAN PEÑA, ROY VARGAS, 23 DOÑA CROSTON, BRETT THOMAS, THE SECOND 24 AMENDMENT FOUNDATION, INC., and THE CALGUNS FOUNDATION, INC. 25 **DEFENDANT STEPHEN LINDLEY RESPONDING PARTY:** 26

ONE

SET NO .:

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RESPONSES TO REQUESTS FOR ADMISSION

REQUEST FOR ADMISSION NO. 1:

The Court has personal jurisdiction over all parties in the lawsuit.

RESPONSE TO REQUEST FOR ADMISSION NO. 1:

Admitted, to the extent Defendant can admit jurisdiction. As the Ninth Circuit has stated: "While '[c]onsent of parties cannot give the courts of the United States jurisdiction, . . . the parties may admit the existence of facts which show jurisdiction, and the courts may act judicially upon such an admission." *Verzosa v. Merrill Lynch, Pierce, Fenner & Smith, Inc.*, 589 F.2d 974, 977 (9th Cir. 1978) (quoting *Railway Co. v. Ramsey*, 89 U.S. [22 Wall] 322, 327).

REQUEST FOR ADMISSION NO. 2:

The Court has subject matter jurisdiction over the issues raised in this lawsuit.

RESPONSE TO REQUEST FOR ADMISSION NO. 2:

Admitted, to the extent Defendant can admit jurisdiction. As the Ninth Circuit has stated: "While '[c]onsent of parties cannot give the courts of the United States jurisdiction, . . . the parties may admit the existence of facts which show jurisdiction, and the courts may act judicially upon such an admission." *Verzosa v. Merrill Lynch, Pierce, Fenner & Smith, Inc.*, 589 F.2d 974, 977 (9th Cir. 1978) (quoting *Railway Co. v. Ramsey*, 89 U.S. [22 Wall] 322, 327). Additionally, the Court can at any time sua sponte dismiss an action for lack of subject matter jurisdiction. Fed. R. Civ. P. 12(h)(3).

REQUEST FOR ADMISSION NO. 3:

Plaintiff properly named the Defendant in the Second Amended Complaint filed on June 10, 2013. (Doc #53).

RESPONSE TO REQUEST FOR ADMISSION NO.3:

Defendant admits that he is properly named in the Second Amended Complaint as a defendant in his official capacity only.

REQUEST FOR ADMISSION NO. 4:

No handguns currently available for sale in the United States have microstamping technology that satisfies the requirements of California's Handgun Roster Law.

RESPONSE TO REQUEST FOR ADMISSION NO. 4:

Admitted.

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REQUEST FOR ADMISSION NO. 5:

No firearms manufacturer currently has any plans to offer handguns for sale in the United States that incorporate microstamping technology compliant with the requirements of California's handgun roster law.

RESPONSE TO REQUEST FOR ADMISSION NO. 5:

After reasonable inquiry, the information Defendant knows or can readily obtain is insufficient to enable him to admit or deny this request. Defendant does not have knowledge of the plans of each and every firearms manufacturer in this regard.

REQUEST FOR ADMISSION NO. 6:

No firearms manufacturer will, in the foreseeable future, offer handguns for sale in the United States that incorporate microstamping technology compliant with the requirements of California's handgun roster law.

RESPONSE TO REQUEST FOR ADMISSION NO. 6:

After reasonable inquiry, the information Defendant knows or can readily obtain is insufficient to enable him to admit or deny this request. Defendant does not have knowledge of the plans of each and every firearms manufacturer in this regard.

REQUEST FOR ADMISSION NO. 7:

Adding microstamping technology to a handgun raises the manufacturing cost of the handgun.

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1	RESPONSE TO REQUEST FOR ADMISSION NO. 7:
2	After reasonable inquiry, the information Defendant knows or can readily obtain is
3	insufficient to enable him to admit or deny this request. Defendant does not have knowledge of
4	the handgun manufacturing costs of each and every firearms manufacturer in this regard.
5	REQUEST FOR ADMISSION NO. 8:
6	The microstamping requirement prevents Plaintiff Peña from acquiring the firearm
7	identified in paragraph 41 of the Second Amended Complaint.
8	RESPONSE TO REQUEST FOR ADMISSION NO. 8:
9	Denied.
10	REQUEST FOR ADMISSION NO. 9:
11	The microstamping requirement prevents Plaintiff Vargas from acquiring the firearm
12	identified in paragraph 43 of the Second Amended Complaint.
13	RESPONSE TO REQUEST FOR ADMISSION NO. 9:
14	Denied.
15	REQUEST FOR ADMISSION NO. 10:
16	The microstamping requirement prevents Plaintiff Croston from acquiring the firearm
17	identified in paragraph 49 of the Second Amended Complaint.
18	RESPONSE TO REQUEST FOR ADMISSION NO. 10:
19	Denied.
20	REQUEST FOR ADMISSION NO. 11:
21	The microstamping requirement prevents Plaintiff Thomas from acquiring the firearm
22	identified in paragraph 54 of the Second Amended Complaint.
23	RESPONSE TO REQUEST FOR ADMISSION NO. 11:
24	Denied.
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,	Case 2:09-cv-01185-KJM-CKD Document 57-10 Filed 10/25/13 Page 6 of 8		
1	Dated: August $\frac{\sqrt{G}}{2013}$ Respectfully submitted,		
2	KAMALA D. HARRIS Attorney General of California		
3	Peter K. Southworth	eral	
4			
5	A think		
6	Anthony R. Hakl		
7	Attorneys for Defendant Stephen L	indley	
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- 28	5		

VERIFICATION I, Stephen Lindley, declare: I am the Chief of the Bureau of Firearms of the California Department of Justice. I have read Defendant Stephen Lindley's Response To Requests For Admission, Set One. I know their contents and the same are true to my knowledge, information and belief. I declare under penalty of perjury under the laws of the United States that the foregoing is true and correct. Executed on August <u>M</u>, 2013 in Sacramento, California. Stephen Lindley

DECLARATION OF SERVICE BY U.S. MAIL and E-MAIL

Case Name:

Ivan Pena, et al. v. Stephen Lindley

No.:

2:09-CV-01185-KJM-CKD

I declare:

I am employed in the Office of the Attorney General, which is the office of a member of the California State Bar, at which member's direction this service is made. I am 18 years of age or older and not a party to this matter. I am familiar with the business practice at the Office of the Attorney General for collection and processing of correspondence for mailing with the United States Postal Service. In accordance with that practice, correspondence placed in the internal mail collection system at the Office of the Attorney General is deposited with the United States Postal Service that same day in the ordinary course of business.

On August 19, 2013, I served the attached

1. DEFENDANT STEPHEN LINDLEY'S RESPONSE TO INTERROGATORIES, SET ONE

2. DEFENDANT STEPHEN LINDLEY'S RESPONSE TO REQUESTS FOR ADMISSION, SET ONE

by transmitting a true copy via electronic mail. In addition, I placed a true copy thereof enclosed in a sealed envelope, in the internal mail system of the Office of the Attorney General, addressed as follows:

Donald E.J. Kilmer, Jr. Attorney at Law Law Offices of Donald Kilmer, A.P.C. 1645 Willow Street, Suite 150 San Jose, CA 95125 E-Mail: don@dklawoffice.com Co-Counsel for Plaintiffs	Alan Gura Gura & Possessky, PLLC 101 North Columbus Street, Suite 405 Alexandria, VA 22314 E-Mail: alan@gurapossessky.com Co-Counsel for Plaintiffs
Jason A. Davis Davis & Associates 30021 Tomas Street, Suite 300 Rancho Santa Margarita, CA 92688 E-Mail: Jason@calgunlawyers.com Co-Counsel for Plaintiffs	

I declare under penalty of perjury under the laws of the State of California the foregoing is true and correct and that this declaration was executed on August 19, 2013, at Sacramento, California.

BRENDA APODACA

Declarant

Porende Apodo-ca.
Signature