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	COUNTY OF SACRAMENTO	
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14	ALVIN DOE and PAUL A. GLADDEN,	Case No. 34-2014-00163821
15	Plaintiffs,	DECLARATION OF STEPHEN J. LINDLEY IN SUPPORT OF
16	<b>v.</b>	OPPOSITION TO MOTION FOR PRELIMINARY INJUNCTION
17	KAMALA D. HARRIS, in her official	Date: July 8, 2014
18	capacity as Attorney General of California; and STEPHEN J. LINDLEY, in his official	Time: 2:00 p.m. Dept: 53
19	capacity as Chief of the California Department of Justice Bureau of Firearms,	Judge: The Honorable David I. Brown Trial Date: None
20	Defendants.	Action Filed: May 20, 2014
21	Defendants.	
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		Declaration of Stephen J. Lindley (34-2014-00163821)

## I, Stephen J. Lindley, declare as follows:

- 1. I am a defendant in this action and am the Chief of the Bureau of Firearms ("BOF") within the Division of Law Enforcement, Department of Justice. I have held this position since December 30, 2009. BOF is responsible for regulation and enforcement actions regarding the manufacture, sale, ownership, safety training, and transfer of firearms. As the Chief, I supervise and direct BOF staff who administer BOF work concerning firearms sales and transfers in the State of California. I also oversee BOF staff who process Dealer's Record of Sale ("DROS") transactions—the process for conducting most firearms purchases and transfers in California.
- 2. I make this declaration in support of defendants' opposition to plaintiffs' motion for preliminary injunction. I have personal knowledge of the following facts and if called as a witness, I could and would testify competently thereto.
- 3. I am the author of the letter dated May 8, 2014 ("Notice"), attached as Exhibit A to plaintiffs' complaint. I understand that plaintiffs' motion for preliminary injunction seeks to enjoin BOF from enforcing the Notice.
- 4. The Notice concerns Penal Code section 27535, subdivision (a), which prohibits any person from applying to purchase more than one handgun within any 30-day period. More specifically, the Notice concerns an exemption to the 30-day prohibition under Penal Code section 27535, subdivision (b)(9), which exempts "[a]ny person who is licensed as a collector pursuant to Chapter 44 (commencing with Section 921) of Title 18 of the United States Code and the regulations issued pursuant thereto, and has a current certificate of eligibility issued by the Department of Justice pursuant to Article 1 (commencing with Section 26700) of Chapter 2." The federal firearm collector license law referenced in subdivision (b)(9) extends to "curios and relics" only. "Curios and relics" under federal law are firearms of special interest to collectors because of some quality other than a quality associated with firearms intended for sporting use or as offensive or defensive weapons. The federal curio and relic firearms license law permits licensed dealers to buy and sell curio and relic firearms in multiple states not limited to the dealer's residence state. Without the license, federal law would limit a dealer to purchases and sales in the dealer's state of residence.

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Firearms and Explosives ("BATFE") prior to a person receiving a curio and relic license ("C&R license") is not very extensive. In sum, the BATFE simply checks its databases to determine whether a person has a prohibiting offense, such as a felony conviction or is considered a "mental defective" under federal law (i.e., a person who has been held on a 14-day hold pursuant to Welfare and Institutions Code section 5250). And the background check conducted by BOF for the issuance of a Certificate of Eligibility ("COE") (which authorizes the purchase of a single firearm) is also not as extensive as the other background checks that BOF conducts for other types of license. For the COE background check, BOF is only able to conduct a search of its databases to determine if the person has a prohibiting circumstance, such as being a violent misdemeanant, felon, subject of a restraining order, or having been held involuntarily at a mental health facility (i.e., a 72-hour hold pursuant to Welfare and Institutions Code section 5150). Comparatively, when BOF conducts a background check for a holder of a dangerous weapons permit, a special agent interviews the applicant as well as his/her business associates, family members, neighbors, and conducts an extensive search of other public records. Accordingly, the background check for curios and relics does not provide more intensive scrutiny of a prospective purchaser; in fact this background check is relatively superficial.

The background check conducted by the federal Bureau of Alcohol, Tobacco,

6. True curio and relic firearms pose less of a threat to public safety in part because of their limited availability due to their expense and market demands. In addition, true curio and relic firearms are not the type of weapons used by persons to commit violent crimes, and often require the use of specialized ammunition that is expensive and not easily obtained in the market. In contrast, the mass sale of handguns generally prohibited by Penal Code section 27535, subdivision (a), poses a much more serious and imminent threat to public safety because modern weapons are more reliable and can be readily accessorized with lasers, scopes, and night sights, which makes the weapon more accurate and lethal. And modern weapons require the use of modern ammunition, which is more powerful because it contains more powder and higher pressure. Mass quantity sales of modern weapons could provide large arsenals in short order to

persons without rigorous background checks mitigating the concerns for public safety underlying the 30-day prohibition.

- 7. BOF is, and has been, aware that a number of firearms dealers are selling multiple handguns that are not "curios and relics" with fewer than 30-days between each handgun sale. For example, DROS transactions evidence simultaneous sales of multiple mass manufactured weapons, such the Glock Generation 4 semi-automatic centerfire firearm, to a single buyer who possesses both a C&R and COE license. In reviewing these transactions, BOF has learned that more often than not, persons using the exception under Penal Code section 27535, subdivision (b)(9), do not own a collection of curio and relic handguns and are instead likely using this exception to acquire mass quantities of modern handguns for resale—straw purchasing—which is contrary to the express legislative intent of the 1-in-30 days law.
- 8. If plaintiffs' motion for preliminary injunction is granted, public safety will be at risk because large arsenals of handguns could amass very quickly in the hands of persons likely to commit crimes and whose intentions and background could bring forth the hazards Penal Code section 27535, subdivision (a), was enacted to prevent.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this 24th day of June, 2014, at Sacraphento, California.

Stephen J. Lindley

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