5 9	Case 5:15-cv-03698-EJD Document 36-4	Filed 09/16/16 Page 1 of 16		
1 2 3 4 5 6 7 8 9	RICHARD DOYLE, City Attorney (88625) NORA FRIMANN, Assistant City Attorney (9324 CLIFFORD GREENBERG, Sr. Deputy City Atto MARK J. VANNI, Deputy City Attorney (267892 Office of the City Attorney 200 East Santa Clara Street, 16 <sup>th</sup> Floor San José, California 95113-1905 Telephone Number: (408) 535-1900 Facsimile Number: (408) 998-3131 E-Mail Address: cao.main@sanjoseca.gov Attorneys for CITY OF SAN JOSE, CITY OF SA JOSE POLICE DEPARTMENT and OFFICER STEVEN VALENTINE	)		
10	UNITED STATES DI	STRICT COURT		
11	NORTHERN DISTRICT	OF CALIFORNIA		
12	SAN JOSE DIVISION			
13				
14	LORI RODRIGUEZ, THE SECOND AMENDMENT FOUNDATION, INC., THE CAL GUNS FOUNDATION, INC.	Case Number: 5:15-CV-03698 EJD		
15	Plaintiffs,	DEFENDANTS' FRCP RULE 26(A)(1) INITIAL DISCLOSURES		
16	V.			
17	CITY OF SAN JOSE, CITY OF SAN JOSE			
18	POLICE DEPARTMENT, OFFICER STEVEN VALENTINE, and DOES 1-20,			
19	Defendants.			
20 21				
21 22	Pursuant to Fodoral Pulso of Civil Presed			
23	Pursuant to Federal Rules of Civil Proced the following disclosures:	ure 20(a)(1), Defendant hereby sets forth		
24	A. Persons Likely to Have Discoverable In	formation		
25	The persons likely to have discoverable information that Defendant may use to			
26	support the claims or defenses, unless the use would be solely for impeachment, are as			
27	follows:			
28	1. Lori Rodriguez			
	DEFENDANTS' FRCP RULE 26(A)(1) INITIAL DISCLOSU	RES Case No. 5:15-CV-03698 EJD 1278737		

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2. Edward V. Rodriguez

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2 3. Officer Steven Valentine, Badge No. 3985, c/o San Jose City Attorney's Office.

3 4. All persons named or referred to in the various documents identified in this4 disclosure.

5 5. Various persons most knowledgeable about Police Department policies, practices,
6 training, personnel matters, and/or other topics relevant to this litigation.

B. Documents and/or Materials that Defendant May Use to Support Claims or Defenses

9 1. All materials collected and generated by San Jose Police Department related to the
10 subject incident, including but not limited to, witness statements, Patrol officer records,
11 9-1-1 and police communications recordings, electronic dispatch information, and
12 communication with Plaintiffs' attorney.

13 C. Computation of Damages

This disclosure requirement does not apply to Defendant in this lawsuit.

## 15 D. Insurance Agreements

Defendants have no such insurance agreements.

- E. Copies of Documents, Video Recordings and Audio Recordings Included with
   this Disclosure
- 19 1. San Jose Police Department Report, January 24, 2013.
- 20 2. San Jose Police Department Report, January 24, 2013.
- 21 3. Declaration of Officer Steven Valentine In Support of Petition Re: Disposition of
   22 Weapons, filed March 1, 2013.
- 23 4. State of California, Department of Justice, Bureau of Firearms Personal Firarms
  24 Eligibility Check (PFEC) Notification for Lori A. Rodriguez, dated May 8, 2013.
- 25 5. Order Re: Disposition of Weapons, filed on September 16, 2013.
- 26 6. State of California Firearm Ownership Record for Winchester Ranger 120 (Serial #
  27 L1813538), dated May 23, 2015.
  - DEFENDANTS' FRCP RULE 26(A)(1) INITIAL DISCLOSURES

- State of California Receipt Confirmation for Firearm as Either Operation of Law or
   Intrafamilial Transfer for Browning Citori (Serial # 3653PP753), dated May 23,
   2015.
- 8. State of California Receipt Confirmation for Firearm as Either Operation of Law or Intrafamilial Transfer for Ruger 1022 (Serial # 23260854), dated May 23, 2015.
   9. State of California Receipt Confirmation for Firearm as Either Operation of Law or Intrafamilial Transfer for Ruger Carbine (Serial # 1401182), dated May 23, 2015.
   10. State of California Receipt Confirmation for Firearm as Either Operation of Law or Intrafamilial Transfer for Ruger Carbine (Serial # 1401182), dated May 23, 2015.
   9 Intrafamilial Transfer for Browning Safari Bar (Serial # 107NW32146), dated May
  - 23, 2015.

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- State of California Receipt Confirmation for Firearm as Either Operation of Law or Intrafamilial Transfer for Browning Gold Hunter (Serial # F01NP05395), dated May 23, 2015.
- 14 12. State of California Receipt Confirmation for Firearm as Either Operation of Law or
   15 Intrafamilial Transfer for Winchester 290 (Serial # 52189), dated May 23, 2015.
  - State of California Receipt Confirmation for Firearm as Either Operation of Law or Intrafamilial Transfer for Remington Speedmaster (Serial # A1657555), dated May 23, 2015.
- State of California Receipt Confirmation for Firearm as Either Operation of Law or
   Intrafamilial Transfer for Smith and Wesson 629 6 Classic (Serial # CFJ8200),
   dated May 23, 2015.
- State of California Receipt Confirmation for Firearm as Either Operation of Law or
   Intrafamilial Transfer for WEO 744 VH (Serial # SB013398), dated May 23, 2015.
- 24 16. State of California Firearm Ownership Record for MAR Glenfield 60 (Serial #
  20626618), dated May 23, 2015.
- 26 17. State of California Law Enforcement Gun Release Firearm(s) Eligibility Clearance
  27 for Smith and Wesson 586 3 (Serial # BFR2403), dated June 1, 2015.

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1	18.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
2		for Browning Gold Hunter (Serial # F01NPO5395), dated June 1, 2015.
3	19.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
4		for Browning Citori (Serial # 3653PP753), dated June 1, 2015.
5	20.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
6		for Ruger 10 22 (serial # 23260854), dated June 1, 2015.
7	21.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
8		for Browning Safari Bar II (Serial # 107NW32146), dated June 1, 2015.
9	22.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
10	<i>i i i i i i i i i i</i>	for MAR Glenfield 60 (Serial # 20626618), dated June 1, 2015.
11	23.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
12		for Ruger Carbine (Serial # 1401182(, dated June 1, 2015.
13	24.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
14		for Remington Speedmaster (Serial # A1657555), dated June 1, 2015.
15	25.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
16		for Winchester 290 (Serial #52189), dated June 1, 2015.
17	26.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
18		for Winchester Ranger 120 (Serial # L1813538), dated June 1, 2015.
19	27.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
20		for WEO 744 VH (Serial # SB013398), dated June 1, 2015.
21	28.	State of California Law Enforcement Gun Release – Firearm(s) Eligibility Clearance
22		for Smith and Wesson 629 6 Classic (Serial # CFJ8200) , dated June 1, 2015.
23	//	
24	//	
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27	II,	
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		4
	DEFE	NDANTS' FRCP RULE 26(A)(1) INITIAL DISCLOSURES Case No. 5:15-CV-03698 EJD 1278737

29. California Sixth Appellate District Court Opinion Filed on April 2, 2015.

To the best of my knowledge, information and belief formed after an inquiry that is reasonable under the circumstances, this disclosure is complete and correct as of the time it is made.

Respectfully submitted,

Dated: November 6, 2015

RICHARD DOYLE, City Attorney

By: Deputy City Attorney

Attorneys for Defendants CITY OF SNA JOSE, CITY OF SAN JOSE POLICE DEPARTMENT and OFFICER STEVEN VALENTINE;

DEFENDANTS' FRCP RULE 26(A)(1) INITIAL DISCLOSURES

State of California DEPARTMENT OF JUSTICE



DIVISION OF LAW ENFORCEMENT BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

Lori Anne Rodriguez 4691 Mia Cirele San Jose, CA 95136

## RE: FIREARM OWNERSHIP RECORD

Dear Lori Anne Rodriguez:

بالانداء فسك

BOF-LFORIOI

Pursuant to your request, the firearm(s) listed below has been recorded by the Department of Justice as being owned by you. Please be aware that should you decide in the future to transfer ownership of any firearm(s) listed below, Penal Code sections 27545 and 28050 et seq. require that all private party transfers of firearms be completed by a licensed California firearms dealer, as defined in Penal Code sections 26700 through 26915.

Serial Number	Make	Model	Caliber
L1813538	WIN	RANGER 120	12

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670,

Sincerely,

LISA O'DONNELL, Manager Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS F.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

# RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

Dear LORI ANNE RODRIGUEZ:

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This letter acknowledges the Department of Justice (DOJ), Bureau of Firearms (BOF) has received your Operation of Law or Intrafamilial Transfer application, processed the application, and a firearm eligibility check has been completed confirming your eligibility to possess firearms. The following firearm has been recorded in your name in the Automated Firearms System.

SERIAL NUMBER	MAKE	MODEL	CALIBER
3653PP753	BRO	CITORI	12

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Allomey General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer.

Dear LORI ANNE RODRIGUEZ:

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SERIAL NUMBER	MAKE	MODEL	CALIBER
23260854	SR	1022	22

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



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BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

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SERIAL NUMBER	MAKE	MODEL	CALIBER
1401182	SR	CARBINE	30

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

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SERIAL NUMBER	MAKE	MODEL	CALIBER
107NW32146	BRO	SAFARI BAR II	7

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX \$20200 SACRAMENTO, CA 94203-0200 Telephone (916) 227-3752 Facsimile (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

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SERIAL NUMBER	MAKE	MODEL	CALIBER	
F01NP05395	BRO	GOLD HUNTER	12	

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL; MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX <u>820200</u> SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

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SERIAL NUMBER	MAKE	MODEL	CALIBER
52189	WIN	290	22

If you have any questions, please contact the Burean of Firearms at (916) 227-5670.

Sincerely,

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LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO. CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

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SERIAL NUMBER	MAKE	MODEL	CALIBER
A1657555	REM	SPEEDMASTER :	22

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 27, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

Dear LORI ANNE RODRIGUEZ:

This letter acknowledges the Department of Justice (DOJ), Bureau of Firearms (BOF) has received your Operation of Law or Intrafamilial Transfer application, processed the application, and a firearm eligibility check has been completed confirming your eligibility to possess firearms. The following firearm has been recorded in your name in the Automated Firearms System.

SERIAL NUMBER	MAKE	MODEL	CALIBER
CFJ8200	SW	629 6 CLASSIC	44

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D, HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone: (916) 227-3752 Facsimile: (916) 227-3744

May 27, 2015

LORI ANNE RODRIGUEZ 4691 MIA CIRCLE SAN JOSE, CA 95136

RE: Receipt Confirmation for Firearm Reported as Either Operation of Law or Intrafamilial Transfer

Dear LORI ANNE RODRIGUEZ:

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SERIAL NUMBER	MAKE	MODEL	CALIBER
SB013398	WEO	 744 VH	44

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, MANAGER Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

### Case 5:15-cv-03698-EJD Document 36-4 Filed 09/16/16 Page 16 of 16

KAMALA D. HARRIS Attorney General

State of California DEPARTMENT OF JUSTICE



DIVISION OF LAW ENFORCEMENT BUREAU OF FIREARMS P.O. BOX 820200 SACRAMENTO, CA 94203-0200 Telephone; (916) 227-3752 Facsimile: (916) 227-3744

May 23, 2015

Lori Anne Rodriguez 4691 Mia Circle San Jose, CA 95136

# **RE: FIREARM OWNERSHIP RECORD**

Dear Lori Anne Rodriguez:

Pursuant to your request, the firearm(s) listed below has been recorded by the Department of Justice as being owned by you. Please be aware that should you decide in the future to transfer ownership of any firearm(s) listed below, Penal Code sections 27545 and 28050 et seq. require that all private party transfers of firearms be completed by a licensed California firearms dealer, as defined in Penal Code sections 26700 through 26915.

Serial Number	
20626618	

<u>Make</u> MAR

Model GLENFIELD 60

Caliber 22

If you have any questions, please contact the Bureau of Firearms at (916) 227-5670.

Sincerely,

LISA O'DONNELL, Manager Bureau Of Firearms

For KAMALA D. HARRIS Attorney General

BOF-LFOR001