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NYSCEF DOC. NO. 271

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Exhibit E

NRA's application and First
Department's order in
NRA v. James, Index No. 158019/2019
(Sup. Ct. N.Y. Cnty.)

NYSCEF DOC. NO. 231

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INDEX NO. 458629/2029

Supreme Court of the State of New York Appellate Division: First Indicial Department

Informational Statement (Pursuant to 22 NYCRR 1250.3 [a]) - Civil

Case Title: Set forth the title of the show cause by which the matter was			For Court of Original Instance
Nationale Rifle Association	of America		
			Date Notice of Appeal Filed
- against -			
Letitia James, in her capac	city as Attorney General		For Appellate Division
Constitute		THE CONTRACTOR	
Case Type		Filing Type	
Civil Action	■ CPLR article 78 Proceed	ling Appeal	☐ Transferred Proceeding
CPLR article 75 Arbitration	☐ Special Proceeding Oth	- I _ ···	_
	☐ Habeas Corpus Proceed	_ ~	☐ Executive Law § 298
		☐ Eminent Domain	☐ CPLR 5704 Review
		☐ Labor Law 220 or :	
		☐ Public Officers Lav	
		Car Property Tax	Law y 1270
Nature of Suit: Check up to	three of the following catego	ories which best reflect	the nature of the case.
☐ Administrative Review	Business Relationships	☐ Commercial	☐ Contracts
☐ Declaratory Judgment	☐ Domestic Relations	☐ Election Law	☐ Estate Matters
☐ Family Court	☐ Mortgage Foreclosure	☐ Miscellaneous	☐ Prisoner Discipline & Parole
☐ Real Property	☐ Statutory	☐ Taxation	☐ Torts
(other than foreclosure)	;		

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	Appe	al	
Paper Appealed From (Check one only	y):	If an appeal has been take	en from more than one order or
		judgment by the filing of	this notice of appeal, please
		indicate the below inform	nation for each such order or
		judgment appealed from	on a separate sheet of paper.
☐ Amended Decree	□ Determination	☐ Order	☐ Resettled Order
☐ Amended Judgement	☐ Finding	Order & Judgment	Ruling
☐ Amended Order	☐ Interlocutory Decree	☐ Partial Decree	☐ Other (specify):
■ Decision	☐ Interlocutory Judgmer	t Resettled Decree	
☐ Decree	☐ Judgment	☐ Resettled Judgment	
Court: Supreme Cour	t	County: New Y	/ork
Dated: 08/19/2019		Entered: 8/19/19	
Judge (name in full): Melissa Crane		Index No.: 158019/2019	
Stage: ☐ Interlocutory ☐ Final ☐	Post-Final	Trial: 🗌 Yes 🗏 No	If Yes: ☐ Jury ☐ Non-Jury
	Prior Unperfected Appeal	and Related Case Information	n
Are any appeals arising in the same as	tion or proceeding curren	ly pending in the court?	☐ Yes ☐ No
If Yes, please set forth the Appellate D		• • •	2 165 2 116
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
Where appropriate, indicate whether	there is any related action	or proceeding now in any co	ourt of this or any other
jurisdiction, and if so, the status of the	•		•
	Original Pro	ceeding	
Commenced by: Order to Show C	ause Notice of Petition	Nrit of Haheas Cornus	Date Filed:
Statute authorizing commencement of			Date Filed.
Statute authorizing commencement of	i proceeding in the Appeni	ite Division.	
	Proceeding Transferred Pu	rsuant to CPLR 7804(g)	
Court: Choose Court		ounty: Choos	e County
Judge (name in full):		rder of Transfer Date:	e County
Jodge (Harrie III Toll).	CPLR 5704 Review o		
	C. E. S. S. T. T. T. S.	ex raite order.	
Court: Choose Court	· · · · · · · · · · · · · · · · · · ·		e County
Judge (name in full):		ated:	
Description —	of Appeal, Proceeding or A	pplication and Statement of	Issues
Description: If an appeal, briefly desc	ribe the paper appealed fro	om. If the appeal is from an	order, specify the relief
requested and whether the motion w	_		
pursuant to CPLR 7804(g), briefly desc	ribe the object of proceed:	ng. If an application under (CPLR 5704, briefly describe the
nature of the ex parte order to be rev	iewed.		,
The NRA appeals the ruling by the Su			
3103 fixing conditions, modifying, or is			
James, the Attorney Generral of New 'NRA's privileged information from disc		n, scneaulea for August 20,	2019, in order to protect the
141 47 3 privileged information from disc	iosui e.		

Informational Statement - Civil

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Issues: Specify the issues proposed to be raised on the appeal, proceeding, or application for CPLR 5704 review, the grounds for reversal, or modification to be advanced and the specific relief sought on appeal.

The NRA seeks an order fixing conditions or modifying the subpoena to its fiduciary and a current Board Member North or a protective order, to permit NRA counsel to be present during examination of North and to lodge concise objections aimed at preserving privileges belonging to the NRA, its counsel and its Board counsel, including communications protected by the attorney-client privilege, the work product doctrine, and as trial preparation material. The NRA in no way wishes to interfere with the NYAG's investigation but has the right to object to disclosure of its privileged infromation. The NRA will be seeking interim relief pursuant to CPLR 5519 and CPLR 3103 to stay or suspend discovery pending the appeal so that the NRA's substantial rights are not violated.

Party Information

Instructions: Fill in the name of each party to the action or proceeding, one name per line. If this form is to be filed for an appeal, indicate the status of the party in the court of original instance and his, her, or its status in this court, if any. If this form is to be filed for a proceeding commenced in this court, fill in only the party's name and his, her, or its status in this court.

No.	Party Name	Original Status	Appellate Division Status
1	National Rifle Association of America	Petitioner	Appellant
2	Letitia James, in her capacity as New York Attorney General	Respondent	Respondent
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	Attorney Ir	nformation	
Instructions: Fill in the names of the	attorneys or firms fo	r the respective part	ies. If this form is to be filed with the
1			commenced in the Appellate Division,
only the name of the attorney for th	e petitioner need be p	provided. In the eve	nt that a litigant represents herself or
himself, the box marked "Pro Se" mu	ist be checked and the	appropriate informa	ation for that litigant must be supplied
in the spaces provided.			
Attorney/Firm Name: William A. Brewer	III/Brewer Attorneys & Cou	nselors	
Address: 750 Lexington Avenue, Floor 14			
City: New York Stat	e:NY	Zip: 10022	Telephone No: 212-224-8810
E-mail Address: wab@brewerattomeys.co	m		
Attorney Type: Retaine	d 🗆 Assigned 🗆	Government	Pro Se
Party or Parties Represented (set for	th party number(s) fro	om table above):	
Attorney/Firm Name: Svetlana Eisenber	g/Brewer Attorneys & Coun	selors	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
Address: 750 Lexington Avenue, Floor 14			
City: New York Stat	e:NY	Zip: 10022	Telephone No: 212-224-8817
E-mail Address: sme@brewerattorneys.co	m		
Attorney Type: Retaine	d 🗆 Assigned 🗆	Government	Pro Se 🗀 Pro Hac Vice
Party or Parties Represented (set for	th party number(s) fro	om table above):	
Attorney/Firm Name: Emily Stem/Letitia	James in her Capacity As	New York Attorney Gener	ai
Address: 28 Liberty Street			
City: New York Stat	e: NY	Zip: 10005	Telephone No: 212-416-6241
E-mail Address: Emily.Stem@ag.ny.gov			
Attorney Type: ☐ Retaine	d 🗆 Assigned 🗆	Government	Pro Se
Party or Parties Represented (set for	th party number(s) fro	om table above):	
Attorney/Firm Name: Monica Connell/Le	etitia James in her Capacity	As New York Attorney G	<i>eessesessessessessessessessesses</i> eneral
Address: 28 Liberty Street			
	e:NY	Zip: 10005	Telephone No: 212-416-8965
E-mail Address: monica.connell@ag.ny.go	IV		
Attorney Type: Retaine	d 🗆 Assigned 🗆	Government	Pro Se
Party or Parties Represented (set for	th party number(s) fro	om table above):	
Attorney/Firm Name:	तः स्वयत्तं वात्रानायाः विकासम्बद्धाः स्वयत् । स्वयत् । स्वयत्ताः स्वयत् । स्वयत्ताः स्वयत्ताः ।	gregorie de Copiedade, que exercise e acestro esperante que acestro de copieda e en copieda de copieda de copi O como en como	ate of an artist of attack and on our object of all others are not an accountable of on on ordinal others.
Address:			
City: Stat	e:	Zip:	Telephone No:
E-mail Address:			
Attorney Type: Retaine	d 🗆 Assigned 🚨	Government	Pro Se
Party or Parties Represented (set for		m table above):	
Attorney/Firm Name:	en komenken men men meksika es	t destruición de la confesión	
Address:			
City: Stat	e:	Zip:	Telephone No:
E-mail Address:			
Attorney Type: Retaine	d 🗆 Assigned 🗅	Government	Pro Se 🔲 Pro Hac Vice
Party or Parties Represented (set for	th party number(s) fro	m table above):	

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SUPREME COURT OF THE STATE OF NEW YORK — NEW YORK COUNTY

PRESENT: MELISSA A. CRANE PART 15

NATIONAL RIFLE ASSOCIATION OF AMERICA,

Petitioner,

- v -

INDEX NO. 158019/2019
MOTION DATE
MOTION SEQ. NO. 001
MOTION CAL. NO.

LETITIA JAMES, IN HER OFFICAL CAPACITY AS THE ATTORNEY GENERAL OF THE STATE OF NEW YORK,

Respondent.

The following papers, numbered _ to _ were read on this motion to/for	•
Notice of Motion/Order to Show Cause — Affidavits — Exhibits Answering Affidavits — Exhibits	PAPERS NUMBERED

CROSS-MOTION: YES NO

After oral argument, and for the reasons stated on the record of 8-19-2019, the court denies the application of the NRA to sit in on the investigatory deposition of Mr. North As explained on the record, the balance of the equities does not favor the NRA. The Attorney General has reiterated time and again that they are not seeking privileged information and just now represented that If Mr. North starts to reveal privileged information, they are going to "cut him off." Moreover, Mr. North has his own counsel who can protect the privilege, and is likely to protect the privilege given that otherwise Mr. North could be vulnerable to a claim for breach of fiduciary duty.

On the other side, the equities favor the AG. Having the NRA or its Board sit in on an investigatory deposition by law enforcement could have the serious consequence of compromising the integrity of that investigation, particularly given the seemingly acrimonious

REASON(S):

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relationship between Mr. North and the NRA Board. For the purposes of this investigation, the

NRA and its Board are one and the same. Their interests are united.

In reaching this conclusion, the court notes that Mr. North and his counsel appear to be

operating in good faith as they gave notice to the NRA of the subpoena; and filed at least some

documents in redacted form in a case in the Commercial Division. Although some documents

were filed in non-redacted form, the court notes that it has been at least a month and there has

been no motion to seal from the NRA. Similarly, there was no effort made to have the court

review in camera the document that is completely redacted and attached as an exhibit to the

NRA's papers. Consequently, the court is unable to assess the depth, if any, to which the

privilege would have been compromised.

It would also likely compromise the investigation were the transcript to be handed over to

the NRA during the investigation. I am not sure of the harm once the investigation is over, but

that issue is not ripe yet. The court will retain jurisdiction, should that issue ever arise.

Accordingly, it is

ORDERED that the court denies the application and dismisses the petition.

DATED: \$\frac{1}{2019}\$

MELISSA A. CRANE, J.S.C

Check one: FINAL DISPOSITION ONON-FINAL DISPOSITION

Check if appropriate: MOTION IS: GRANTED DENIED GRANTED IN PART OTHER

Check if appropriate: DO NOT POST REFERENCE SETTLE ORDER SUBMIT ORDER

☐ FIDUCIARY APPOINTMENT

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SUMMARY STATEMENT ON APPLICATION FOR EXPEDITED SERVICE AND/OR INTERIM RELIEF

(SUBMITTED BY MOVING PARTY)

	Date: <u>8/1</u>	9/19
Title National Rifle Assocation	Index/Indict/Docket #	158019/2019
of Matter Letitia James, in her capacity as New York Attorney (General	
Order 🗸 Supreme	County New York	
Appeal Judgment of Surrogate's by NRA from Decree Family	s Court entered on 8/19	,20_19
Name of Judge Melissa Crane	Notice of Appeal filed on 8/19	,20 2019
If from administrative determination, state agency		
Nature of Action to modify a subpoena (CPLR 2304		
or proceeding to allow NRA counsel to object at ora	I testimony to protect privil	eges.
Provisions of judgment appealed fromdecree		
This application by appellant respondent is for a stay pu	rsuant to CPLR 5519(c) ar	nd CPLR
3103(b) pending determination of the app	peal of the denial of Appell	ant's petition
for an order modifying the subpoena and/	or a protective order to pro	otect privileges.
If applying for a stay, state reason why requested Oral exami	nation pursuant to NYAG's	s subpoena
scheduled for tomorrow August 20, 2019. A stay		
to Appellant pending determination.	·	
Has any undertaking been posted No	If "yes", state amount an	d type
	es", state	
Has there been any prior application If "y	position <u>Denied.</u> res", state dates	
here in this court No. and	nature	
Catalogue Voc	s he/she	
of this application Tes. cons	sent	

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Name Svetlana M. Eisenberg	Emily Stern, New York Attorney General
Address 750 Lexington Ave, Floor 14	28 Liberty Street
New York, NY 10022	New York, NY 10005
	Steven C. Wu, NY Attorn
Tel. No. 212-224-8817	212-416-6312
·	
Appearing by in person	in person
William A Drewer III alexandria Goldfarb	Steven Wu
William + Brewer !!	- Monica Connell
alexandria boldfark	William Wang
	Yael fichs
	·
DISPOSITION A A A A A A A A A A A A A A A A A A A	
Applicati denied.	
Applicati denied.	8/19/19
Applicati denied.	Stige $\sqrt{\frac{9}{9}}$ Date $\sqrt{\frac{9}{3}}$
Applicati denied.	12/16