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NYSCEF DOC. NO. 1296

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# **EXHIBIT AD**

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Name: Wicsow H PHILLIPS FR

### National Rifle Association Financial Disclosure Questionnaire

#### **Instructions**

For purposes of all questions:

- "Entity" means any company, corporation, association, partnership, or sole
  proprietorship, whether for-profit or non-profit. It specifically includes any
  professional fundraising firm.
- "NRA Entity" means The NRA Foundation, NRA Civil Rights Defense Fund, NRA Freedom Action Foundation, or NRA Special Contribution Fund d/b/a NRA Whittington Center).
- "Relative" means any ancestor, spouse, brother or sister (whether whole or half blood), child (whether natural or adopted), grandchild, great-grandchild, or domestic partner, as well as spouses or domestic partners of brothers, sisters, children, grandchildren, and great-children.

If you answer "yes" to any question in this section, please attach details on a separate page, including the nature of the relationship or transaction, the names of persons or entities involved, and the time periods and dollar amounts involved. We will contact you if we need any further information, or if your answers may affect your service as an officer, director, or employee in any way. We will disclose only the minimum information required by law or by the NRA Bylaws.

#### Transactions with NRA Entities and Conflicts of Interest

- 1. Do you or any relative expect to receive, or have you or any relative received <u>in</u> the last three years, any compensation from the NRA or any NRA Entity?
  - Examples would include consulting, legal, or performance fees, or payment for providing any other goods or services to the NRA.
  - This does not include reimbursement of expenses incurred in the course of your duties as an officer or director. It also does not include salaries of officers or former employees.

Yes.	Please provide details.
No.	

2. Do you or any relative do business with the NRA that was not reported in question 1, or do you or any relative have any relationship with, or financial interest in, any non-NRA entity (whether for-profit or not-for-profit) (e.g., as an owner, officer, director, employee, etc.) that does business with, or receives funds from, the NRA or any NRA Entity?

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Examples would include consulting, legal, or performance fees, or payment
for providing any other goods or services to the NRA, if the funds were paid
to a business or organization rather than to you personally.

- This would also include transactions in which a non-NRA entity pays the NRA for goods or services. Examples include placement of advertising in NRA magazines or on NRA websites, or leasing exhibit space at the NRA Annual Meetings and Exhibits.
- Dues and contributions paid to the NRA also should not be reported.

Yes.	Please provide details.
No.	

- 3. Do you or any relative have any management, ownership, employment or financial relationship with any non-NRA entity that has (or is seeking to have) a business relationship with or receive funds from the NRA or any NRA Entity?
  - Examples would include being an owner, officer, director, employee, contractor, or subcontractor of a company that provides (or is seeking to provide) goods or services to the NRA, or an officer or director of a club or association that has applied for or received a grant from the NRA Foundation or NRA Civil Rights Defense Fund.
  - This does not include merely being a member of an organization that seeks NRA funding, or other minor relationships that couldn't reasonably lead to any conflict of interest (such as owning a few shares of stock in a company that may do business with the NRA).

Yes.	Please provi	de details
✓ No.		

- 4. Have you or any relative received, or do you or any relative expect to receive, any gift, gratuity, personal favor, or entertainment with either a retail price or fair market value in excess of \$250 from any person or entity that has or is seeking to have a business relationship with, or receive funds from, NRA or any NRA Entity?
  - Please do not include transactions that occur in the ordinary course of business on the same terms as are generally offered to the public, including standard industry and professional discounts.

Yes.	Please	provide	details.
No.		•	

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5. Are you or a relative now or have you or a relative been, wayears, an employee of the NRA or any NRA Entity (i.e., The NRA F Civil Rights Defense Fund, NRA Freedom Action Foundation, or N Contribution Fund d/b/a NRA Whittington Center)?	oundation, NRA RA Special
Yes. Please provide details. (No salary information is re	equired.)
6. Do you have any family or business relationship with any trustee, or employee of the NRA or any NRA Entity? Please do not that occur in the ordinary course of either party's business on the san generally offered to the public.	include transactions
Yes. Please provide details. No.	
7. To the best of your knowledge, is there any transaction that disclosed above in which the NRA is a participant and in which you conflicting interest?	, ·
Yes. Please provide details. No.	
Other Disclosures	
8. Have you <u>ever</u> been convicted of a misdemeanor or felony contest to a felony? Please be aware that in many states, serious traffeckless driving are classified as misdemeanors. (Do not include any been expunged, pardoned, or set aside, or any juvenile delinquency as	ic violations such as conviction that has
Yes. Please provide details.	
No.	
9. Are you, or have you <u>ever</u> been, subject to any administrate proceeding (including a voluntary agreement with any government a alleged violation of laws or regulations related to:	
(a) charitable registration, solicitation, recordkeeping, or asse	t administration,
(b) theft, fraud, or deceptive business practices,	
(c) taxation or revenue,	

(d) securities or consumer fraud, or(f) antitrust or restraint of trade?

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Yes. Please provide details, including the court or other forum, case number, title and date of the action, and whether any injunction, judgment, decree, or order was entered.  No.
10. Have you ever been the subject of a civil injunction, judgment, or administrative order (such as a cease and desist order or consent decree from a regulatory agency)? Yes. Please provide detailsNo.
<ul> <li>11. Have you ever, during the bankruptcy or receivership of any other corporation, been:</li> <li>An officer, director, trustee or incorporator of that corporation (whether for-profit or non-profit); or</li> <li>A person who controlled or held over 20% of the issued and outstanding common shares, or 20% of any other proprietary, beneficial, or membership interest in that corporation (but only if the corporation was a for-profit corporation)?</li> </ul>
Yes. Please provide details.  No.
By my signature below, I affirm that:
<ul> <li>My answers are true and correct to the best of my knowledge, and I will inform the Secretary's Office if any of my answers change;</li> <li>I have received a copy of the NRA's Conflict of Interest and Related Party Transaction Policy;</li> <li>I have read and understand that policy and agree to comply with it; and</li> <li>I understand that in order to maintain the NRA's federal tax exemption it must engage primarily in activities which accomplish its tax-exempt purposes.</li> </ul>
Signed: 1/27/19  Date: 1/27/19

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Wilson H Phillips Jr.

## National Rifle Association Financial Disclosure Questionnaire Answer Details

# Question 1:

- a) December 2018.
- b) I expect to receive compensation under a consulting contract with NRA which commenced on January 1, 2019.

## **Question 8:**

In the mid 1990s I had a reckless driving conviction in Virginia that might have been misdemeanor in that state. It is no longer accessible on my driving record, so I do not have more specifics.